

1 **Individual-level distance-independent-based growth and yield**  
2 **prediction models for long-term Japanese cedar (*Cryptomeria japonica*)**

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17

18 **Abstract**

19 Yield prediction has been determined to be vital in sustainable forest management.  
20 Recently, research trends have shifted from stand-level to individual-level yield  
21 prediction. In this study, we examined the effectiveness of yield prediction models based  
22 on a distance-independent approach for Japanese cedar (*Cryptomeria japonica*) trees in  
23 western Japan. We further examined the accuracy of the models by reference to existing  
24 data collected long-term. First, we constructed distance-independent height, diameter  
25 growth, and survival models. Then, we simulated for approximately 50 years individual  
26 tree height, diameter at breast height (DBH), and volume growth using the test data. We  
27 then compared the predicted and observed values and calculated root mean square error  
28 (RMSE) and bias to evaluate the model accuracy. The models were noted to perform well  
29 when predicting mean height, DBH, and volume for Japanese cedar trees; in fact, they  
30 adequately predicted the diameter distribution. Our results suggest that distance-  
31 independent models could adequately predict long-term mean values and diameter  
32 distribution. However, RMSE and bias indicated that error propagation occurred over  
33 longer time spans. Thus, it is effective to conduct actual measurements at some point in  
34 the forest development phase and use the measurements as initial values for short- or  
35 medium-term predictions.

36 **Keywords: Yield prediction, Distance-independent competition index, Simulation,**  
37 **Generalized linear mixed model, Japanese cedar.**

38 **1. Introduction**

39 Yield prediction is crucial to sustainable forest management and planning, and various  
40 methods have been validated in countries targeting many species (e.g., Monserud and  
41 Sterba 1996; Fox et al. 2001; Böhm et al. 2011; Weiskittel et al. 2011; de-Miguel et al.  
42 2013). Yield prediction methods follow either a stand- or individual-level approach, with  
43 the conventional method operating at stand level. Over time, there has been a shift toward  
44 individual-level approaches (Monserud and Sterba 1996; Hasenauer 2006).

45 Yield tables of major coniferous species in the national forests in Japan were published  
46 in 1933 (Hayao 1961). The tables cover each region under different site productivities  
47 and include data on stand mean height, diameter at breast height (DBH), and volume  
48 according to age. However, these yield tables did not account for various treatment  
49 scenarios such as initial planting density, thinning intensity, and thinning methods; they  
50 were compiled based on assumptions of growth under standard conditions. For this reason,  
51 several stand yield prediction systems, which consider growth under various treatment  
52 regimes, have been developed (Konohira 1995). For example, the Local Yield  
53 Construction System (LYCS) takes account of when and how thinning is conducted  
54 (Nakajima et al. 2010). LYCS can be adapted to three major coniferous species: Japanese  
55 cedar (*Cryptomeria japonica*), Japanese cypress (*Chamaecyparis obtusa*), and Japanese  
56 larch (*Larix kaempferi*). Moreover, LYCS provides yield growth predictions assuming  
57 low initial planting density (Shiraishi 2004) and long-rotation management (Nakajima  
58 and Shiraishi 2007). These factors have led to yield prediction at stand level being widely  
59 used in Japan.

60 The conventional yield prediction systems in Japan have a major issue, that is, the  
61 systems cannot select trees to remove for thinning treatment. Therefore, we could not

62 understand the residual tree growth process. These systems are not suitable for selecting  
63 target trees for various thinning treatments and are insufficient to comprehend the specific  
64 residual trees growth. For this reason, yield prediction is required based on an individual-  
65 level approach in our country; however, yield prediction employing the approach for  
66 common Japanese conifer species has not yet been examined.

67 Some studies have tried yield prediction system using an individual-level approach; for  
68 example, Scolforo et al. (2019) constructed a growth and yield system for eucalypts.  
69 Seppänen and Mäkinen (2020) tried individual- and stand-level yield prediction systems  
70 for teak (*Tectona grandis*) plantations. Individual-level approaches are identified to be  
71 generally superior to stand-level approaches because they are flexible and better able to  
72 characterize growth response under various silvicultural practices (Weiskittel et al. 2011).

73 Competition indices are widely used for individual-level yield prediction, and these can  
74 be classified into distance-dependent and distance-independent (Canham et al. 2004). A  
75 distance-dependent competition index requires information on the distance between the  
76 subject tree and competition trees, whereas a distance-independent competition index  
77 does not. Some researchers developed tree growth model based on an individual-level  
78 distance-dependent approach (e.g., Coates et al. 2003; Alegria and Tomé 2013; Bose et  
79 al. 2015). For example, Alegria and Tomé (2013) constructed a distance-dependent  
80 individual tree growth and yield model for uneven aged maritime pine (*Pinus pinaster*  
81 Aiton) stands, and they suggested that the efficacy of distance-dependent competition  
82 indices was not clear compared with distance-independent competition indices. In general,  
83 distance-dependent competition indices are regarded as having higher accuracy as they  
84 are known to carry information on location and more reliably express local competition  
85 (Wimberly and Bare 1996; Miyamoto and Amano 2002; Contreras et al. 2011). However,

86 some studies maintain that the accuracy of models based on the two indices is the same  
87 (Daniels et al. 1986; Biging and Dobbertin 1995; Kahriman et al. 2018; Kuehne et al.  
88 2019) and that distance-independent competition indices may satisfactorily predict yield  
89 growth. For example, Palahí et al. (2003) developed individual-level distance-  
90 independent tree growth and mortality models for Scots pine (*Pinus sylvestris* L.). Sun et  
91 al. (2019) evaluated the six distance-independent indices for loblolly pine (*Pinus taeda*  
92 L.) diameter growth and survival models based on an individual approach. Their results  
93 indicated that the distance-independent tree growth and mortality models were well  
94 predicted actual tree growth and mortality. If the distance-independent models would  
95 predict stand growth and yield for Japanese common species well, it is easy and cost-  
96 effective to predict yield growth because the distance-independent competition indices do  
97 not require the information of the trees' positions in the stand. Our previous study  
98 indicated that a distance-independent diameter growth model offered accurate prediction  
99 of actual diameter growth in Japanese cedar trees (Fukumoto et al. 2020a). There is the  
100 possibility that a distance-independent based yield prediction model would predict actual  
101 yield growth well. To evaluate the effectiveness of yield prediction methods, we need to  
102 clarify model accuracy using test data. However, studies that have verified the accuracy  
103 between predicted and observed values using long-term data remain to be lacking.

104 Thus, this study aims to evaluate the effectiveness of long-term yield prediction based  
105 on a distance-independent individual tree approach for Japanese cedar trees in western  
106 Japan as a case study and to further validate our yield prediction model using long-term  
107 data available for two existing permanent plots.

108

## 109 **2. Materials and Methods**

110 *2-1. Data collection*

111 In this study, the dataset was collected from five study sites in the Shikoku region,  
112 western Japan, wherein the mean annual temperature is 16.2 °C and mean precipitation is  
113 1322.5 mm. The study sites were in national forests located in Asagihara (A), Nishimata-  
114 higashimata (B), Nakanokawa-yama (C), Kudarukawa-yama (D) (Fig. 1, Table 1), and  
115 Ichinotani-yama (T) (Fig. 1, Table 2). The data from sites A–D were used as model  
116 training data, whereas the data from site T was used as test data. Sites A–D were originally  
117 established to evaluate the effect of initial planting density and thinning intensity on  
118 Japanese cedar growth. Japanese cedar was planted between 1950 and 1964 at each site.  
119 These sites had two to six study plots 0.035–0.227 ha in area. The first measurements  
120 were conducted when the plots were 11–28 years old. The site index (SI) ranged from  
121 14.8 m to 27.7 m in each plot. SI was calculated as the upper mean height (250 trees/ha)  
122 at 40 years old. The upper mean height at 40 years old was estimated from the stand age–  
123 dominant height relationship using a smoothing spline because the measurement had not  
124 been conducted at 40 years old. The census intervals were approximately 5 years, and  
125 measurements were repeated 5 to 10 times. In total, data was collected from 5,130 trees.

126 The test site was located in Ichinotani-yama national forest (Fig. 1). This site had two  
127 study plots (Plot 1 and Plot 2) (Table 2), each 0.109 ha in area. In these plots, cleaning  
128 and thinning from below were conducted at age 31 and 36 years, respectively. The  
129 cleaning rates, based on the number of trees in the plots, were 20 % for Plot 1 and 18 %  
130 for Plot 2; the thinning rates were 44 % and 50 %, respectively; and the SIs were 22.1 and  
131 18.0, respectively. Tree height and DBH in these plots were measured as for the other  
132 plots.

133 The DBH of each tree was measured at 1.2 m. The tree height was obtained by measuring

134 approximately 30 trees selected based on diameter class. Unmeasured tree height was  
135 estimated using Näslund equation (Nigul et al. 2021). In field measurements, we recorded  
136 whether trees were dead or alive.

137

## 138 2-2. Individual-level growth and survival models

139 The individual-level Japanese cedar height growth, diameter growth, and survival rate  
140 prediction models were constructed based on a generalized linear mixed-effect model.  
141 The diameter growth model had been developed in a previous study (Fukumoto et al.  
142 2020a), and the model parameters were re-estimated in this dataset. Annual individual  
143 height and diameter growth were defined, respectively, as follows:

144

$$145 \ln(h_{I,i,j+1}) = a_0 + a_1 H_{I,i,j} + a_2 H_{I,i,j}^2 + a_3 Age_{I,i,j} + a_4 SI_I + a_5 BAL_{I,i,j} + \varphi_{I,i}, \quad (1)$$

$$146 \ln(d_{I,i,j+1}) = b_0 + b_1 DBH_{I,i,j} + b_2 DBH_{I,i,j}^2 + b_3 Age_{I,i,j} + b_4 Sr_{I,j} + \varphi_{I,i}, \quad (2)$$

147

148 where  $h_{I,i,j}$  and  $d_{I,i,j}$  are the annual height and diameter growth, respectively, of the  
149 subject tree  $i$  in the  $I^{\text{th}}$  plot between the  $j^{\text{th}}$  measurement and the subsequent measurement.

150  $H_{I,i,j}$  and  $H_{I,i,j}^2$  are tree initial height and the height squared, respectively.  $DBH_{I,i,j}$  and  
151  $DBH_{I,i,j}^2$  are DBH and DBH squared, respectively.  $Age_{I,i,j}$  and  $SI_I$  are stand age and  
152 site index, respectively.  $BAL_{I,i,j}$  and  $Sr_{I,j}$  are competition indices, calculated as  
153 follows:

154

$$155 BAL_{I,i,j} = \sum \frac{\pi}{4} DBH_c^2, \quad (3)$$

156 
$$Sr_{I,j} = \frac{100^2}{\bar{H}_{I,j}\sqrt{N_{I,j}}}, (4)$$

157

158 where  $BAL_{I,i,j}$  is the basal area of trees larger than the subject trees, and  $DBH_c$  is the  
 159 DBH of competitor trees that have a larger diameter than the subject tree (Wykoff et al.  
 160 1982).  $Sr_{I,j}$  is defined as the relative spacing index, and  $\bar{H}_{I,j}$  is the mean height.  $N_{I,j}$   
 161 is the number of trees per hectare. In this study, following pre-analysis, the relative  
 162 spacing index was generated using mean height (i.e. mean height of all living trees in a  
 163 plot) rather than upper mean height (i.e. mean height of 250 largest trees per hectare) (see  
 164 Nagumo and Minowa 1990) as this increased model accuracy. In Eqs. 1 and 2,  $a_0 - a_5$   
 165 and  $b_0 - b_4$  are parameters, and  $\varphi_{I,i}$  is the random effect with normal distribution for  
 166 subject tree and plot. Here, measured trees were nested within plots and each tree was  
 167 repeatedly measured over time. Thus, random effects were included at both the plot and  
 168 tree level.

169 An individual-tree survival model was constructed with a logistic function to calculate  
 170 the annual tree survival rate. Here, the “*Exposure*” method was used in the model because  
 171 the data were collected with irregular measurement interval  $t$  (Shaffer 2004). The annual  
 172 tree survival rate  $S_{i,I,j}$  of subject tree  $i$  in the  $I^{\text{th}}$  plot at the  $j^{\text{th}}$  measurement is expressed  
 173 as follows:

174

175 
$$S_{i,I,j+1} = \left( \frac{1}{1 + \exp(-c)} \right)^t, (5)$$

176 
$$c = c_0 + c_1 DBH_{i,I,j} + c_2 SI_I + c_3 Sr_{I,j} + c_4 (DBH_{i,I,j} * Sr_{I,j}) + \varphi_{i,I}, (6)$$

177



178 The interaction of terms *DBH* and *Sr* was used to express the effect of competition that is  
179 dependent on individual tree size.  $c_0 - c_4$  are parameters, and  $\varphi_{i,l}$  is the random  
180 parameter of subject tree  $i$  in the  $l^{\text{th}}$  plot. The lme4 package (Bates et al. 2015) in R version  
181 4.0.4 (R Core Team 2021) was used to estimate the all parameters. Note that explanatory  
182 variables for each model were selected during preliminary analysis using a stepwise  
183 method referring to AIC values.

184

### 185 *2-3. Model evaluation*

186 To evaluate model performance, Marginal  $R^2$ , Conditional  $R^2$ , root mean square error  
187 (RMSE), and average bias (AB) were calculated for both height and diameter growth  
188 models following to Kozak and Kozak (2003). Then, Marginal  $R^2$  and Conditional  $R^2$   
189 (Nakagawa and Schielzeth 2013) were calculated via the MuMIn package in the  
190 r.squaredGLMM function (Barto 2020). The area under the curve (AUC) was used to  
191 evaluate survival model performance (Godeau et al. 2020). AUC is calculated by drawing  
192 the receiver operating characteristic curve (Pencina et al. 2008). The AUC value ranges  
193 from 0 to 1, where a value of 1 indicates perfect distinction. Here, the AUC values was  
194 calculated by pROC package (Robin et al. 2011).

195

### 196 *2-4. Simulation and model validation*

197 The individual tree growth for two plots in Ichinotani-yama for 53 years were simulated.  
198 Our models (Eqs. 1, 2, and 5) were initialized with the first measurement data (tree height,  
199 DBH, stand age, competition index at 12-year old). SIs were set to the estimated values  
200 from the measurements data of the plots, assuming they could be predicted separately.  
201 Then, the models run forward until 65-year old to predict the individual tree height,

202 diameter, and volume. The simulation interval was 1 year. The tree volume  $v_{i,l,j}$  was  
203 calculated using the following equation:

204

$$205 \quad v_{i,l,j} = d_0 + d_1 H_{i,l,j} + d_2 DBH_{i,l,j}^2 + d_3 (H_{i,l,j} * DBH_{i,l,j}^2) \quad (7)$$

206

207 where  $d_0-d_3$  are parameters as defined for each diameter class (Table 3) (Hosoda et al.  
208 2010). The thinning age and rate were adapted according to actual test data. Thinning  
209 trees were selected randomly by each diameter class, to clarify the contribution to the  
210 yield prediction system. The number of trees was calculated based on the actual thinning  
211 rate of each diameter class and predicted stand density. We defined that tree death occurs  
212 at a survival rate less than 50 %.

213 To validate model performance, the predicted and observed mean height, diameter, stand  
214 density, volume, and cumulative volume were compared in each plot. The predicted and  
215 observed diameter distribution at age 12, 22, 30, 46, and 65 years were also compared.  
216 Additionally, to validate the effectiveness of the prediction model, the RMSE and bias  
217 were calculated for individual height, DBH, and volume using the fixed effect only.

218

### 219 **3. Results**

#### 220 *3-1. Evaluation of growth and survival model*

221 In each model, parameters estimation results shown in Table 4. The Marginal  $R^2$  values  
222 for the height and diameter growth models were 0.23 and 0.52, respectively (Table 5).  
223 Meanwhile, the Conditional  $R^2$  values for both models were 0.25 and 0.59, respectively.  
224 The accuracy of the height growth model was found to be low in comparison with the  
225 DBH model. The RMSE for height and diameter growth were 0.2048 and 0.2050,

226 respectively. Average bias for both models were 0.057 and 0.048, respectively. AUC for  
227 the survival model was 0.84.

228

### 229 *3-2. Yield predictions*

230 In Plot 1, mean height was overestimated for trees over 20 years old, though DBH was  
231 showed little difference between predicted and observed values (Fig. 2a). Stand density  
232 was also showed little difference between predicted and observed values; however, there  
233 were no trees discerned with a survival rate under 50 %. Mean volume and cumulative  
234 stand volume were predicted well for trees up to 40 years old. In Plot 2, mean height,  
235 stand density, mean tree volume, and stand volume were predicted better than DBH (Fig.  
236 2b). DBH was underestimated for trees over 40 years old.

237

### 238 *3-3. Prediction of diameter distribution*

239 In Plot 1, the diameter distribution between predicted and observed values was similar  
240 for trees up to 46 years old, beyond which the values tended to be slightly underestimated  
241 (Fig. 3a). The diameter distribution was fitted up to 36 years old in Plot 2, though  
242 diameters were underestimated beyond 41 years old (Fig. 3b). Interestingly, the tendency  
243 toward underestimation appeared after thinning treatment in both plots.

244

### 245 *3-4. Predicted accuracy of height, DBH, and volume under time series*

246 The RMSE under time series showed that the prediction accuracy worsened with  
247 increasing age (Fig. 4). The maximum RMSE values for height, DBH, and volume were  
248 5.63 m, 11.8 cm, and 0.9 m<sup>3</sup>, respectively. The bias of height in both plots showed that  
249 the predicted values were underestimated from 20 years old (Fig. 5a), with values ranging

250 from  $-4.89$  to  $0.31$  m. For DBH, the predicted values were overestimated from 35 years  
251 old, after which point the values tended to be underestimated (Fig. 5b). The bias for DBH  
252 ranged from  $-2.48$  to  $1.19$  cm. The bias for volume showed underestimation from 30  
253 years old, with values ranging from  $-0.36$  to  $0.02$  m<sup>3</sup> (Fig. 5c).

254

#### 255 **4. Discussion**

256 The Marginal  $R^2$  and Conditional  $R^2$  values for the height growth model were determined  
257 to be lower than the diameter growth model, thus indicating low model accuracy. In  
258 general, tree height measurement is susceptible to error (Larjavaara and Muller-Landau  
259 2013). At our study site, the instrument used to measure tree height was changed from  
260 Blume-Leise to Vertex after 2000, and this may have introduced measurement error  
261 (Villasante and Fernandez 2014). In addition, only a proportion of the trees were explicitly  
262 measured at our study site; heights for the unmeasured trees were estimated using the  
263 relationship between DBH and tree height. The potential errors involved in height  
264 estimation may have affected accuracy of the height growth model in this study. On the  
265 other hand, mean height, DBH, and volume were predicted by our model with moderate  
266 accuracy, and diameter distribution up to 30 years of age was predicted well. Our results  
267 indicate that individual-level distance-independent models may adequately predict stand  
268 yield for a limited time and that such models can be useful in a yield prediction system.  
269 A characteristic of Japanese cedar is that it has very straight stems and stands are usually  
270 planted simultaneously, meaning that tree size is expected to be uniform. Therefore, our  
271 relatively simple models were well suited to growth predictions for Japanese cedar.

272 Most of the yield prediction models popular in Japan were built upon a stand-level framework  
273 (Konohira 1995; Nakajima et al. 2010). Because the models were developed for major

274 species in each region, the system has shown practical application for local-level forest  
275 planning. However, the system only provides yield growth under standard treatment and  
276 cannot specifically select trees that should be removed for a thinning treatment; therefore,  
277 the system is not suitable for selecting target trees for a thinning exercise and is  
278 insufficient for evaluating tree growth under different treatment strategies. In this study,  
279 we extended individual-level yield prediction to address the problems of conventional  
280 yield prediction through the ability to select trees to be removed for a thinning treatment  
281 and to adequately express residual tree growth. Our model is ideal in a supporting role to  
282 improve forest management plans. Moreover, our model used distance-independent  
283 competition indices to express competition in a stand. These indices are more easily  
284 calculated than distance-dependent competition indices as they do not require location  
285 information data from a stand (Rivas et al. 2005). However, the distance-independent  
286 competition index has a limitation; Hasenauer (2006) implied that if the plot size increases,  
287 predictions may diverge from the real situation. Consequently, it would be advantageous  
288 to create models that incorporate distance-dependent competition indices. This would  
289 require trees' coordinate information, the collection of which, to date, has been costly in  
290 labor and time. Recently, terrestrial laser scanning had been employed to measure forest  
291 structure (Nishizono et al. 2020; Suematsu et al. 2020). Results indicate that it might, in  
292 the future, be feasible to obtain spatial information such as individual tree sizes and  
293 positions using this technique. It is relatively easy to calculate the distance-dependent  
294 competition index, and this permits realistic expression of tree growth. In a future study,  
295 we plan to incorporate both distance-dependent and distance-independent competition  
296 indices to flexibly predict yield growth under various forest management scenarios. We  
297 also aim to incorporate taper curves into our model for accurate prediction of timber

298 production (Seppänen and Mäkinen 2020), as this could provide useful yield prediction  
299 data.

300 There are some limitations with individual-level models. Our models were developed  
301 based on the data of pure Japanese cedar plantations. Therefore, the models might not  
302 suitable for the mixed or un-even aged Japanese cedar plantation. In this study, prediction  
303 accuracy of mean values in Plot 1 was lower than that in Plot 2. One possible reason is  
304 that the standard deviation in Plot 1 was larger than in Plot 2. If the dispersion of data  
305 were large, the model may not be able to provide accurate predictions. The RMSE values  
306 increased with increasing tree age in both plots. Bias also showed that the values were  
307 not constant. The RMSE and bias values were high compared with other previous studies  
308 that was constructed yield prediction system for other species (e.g. Weiskittel et al. 2016;  
309 Scolforo et al. 2019); however, our models sufficiently predicted for short- and medium-  
310 term tree growth. In general, the individual-level model produces error propagation when  
311 tree growth predictions are made as a time series. Moreover, data for outliers (extremely  
312 large or small trees) might be difficult to predict well. To better model long-term  
313 individual tree growth, we need to incorporate data other than just initial values.

314 We validated our models by adapting the test data, which had been collected as a  
315 consequence of normal management. Recently, conifer plantations have matured in Japan,  
316 and it is now necessary to consider management of old trees (Miyamoto 2015). Moreover,  
317 we need to reduce management costs to improve revenue for forest owners (Fukumoto et  
318 al. 2017, 2020b, 2021; Sakai et al. 2019). While promising, our models do not yet address  
319 some of the problems currently experienced in the Japanese forestry industry, and further  
320 refinement is required to adapt the yield growth models for non-standard treatments. On  
321 the other hand, our models have the advantage of relative simplicity; to develop

322 individual-level models would require huge datasets to estimate model parameters. This  
323 is the most important issue in yield prediction at individual level, since it is very  
324 challenging to collect long-term individual tree growth data. In this study, we examined  
325 yield prediction in limited areas and species; a useful next step would be to re-estimate  
326 the parameters to adapt the model for other regions and species. Parameter estimation  
327 calculated from minimal data would be a valuable topic for future study.

328

## 329 **5. Conclusion**

330 This study examined distance-independent individual-level yield prediction for  
331 Japanese cedar plantations in the Shikoku region, western Japan. We developed models  
332 employing three parameters, that is, height, DBH, and mortality, to predict yield. Results  
333 showed that our models predicted actual mean values for height, DBH, volume, and  
334 diameter distribution well, suggesting that individual-level models might be sufficient for  
335 yield prediction. One limitation was error propagation over extended time spans. Thus, it  
336 is useful to conduct actual measurements at some point in the forest development stage,  
337 and use the measurements as initial values for short- or medium-term predictions. Our  
338 intention is to improve the models for practical application for an individual-based yield  
339 production system in Japanese forestry, as such a tool would make a significant  
340 contribution to sustainable timber supply and forest management in Japan.

341

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526



527 Table 1. Summary of study sites at first and last measurement period

	Site	Plot	Area (ha)	First measurement					Last measurement					SI*	Cumulative Thinning rate
				Age (years)	Density (trees/ha)	Mean height (m)	Mean DBH* (cm)	Sr* (%)	Age (years)	Density (trees/ha)	Mean height (m)	Mean DBH* (cm)	Sr* (%)		
A	Asagihara	1	0.227	12	1,308	3.6	3.9	78.2	60	1,185	15.3	19.9	19.0	15.7	-
		2	0.200	12	1,340	3.2	3.2	86.9	60	1,260	14.5	18.4	19.4	15.6	-
		3	0.116	12	5,147	3.4	3.6	42.1	60	4,509	12.6	13.1	11.8	14.8	-
		4	0.124	12	4,806	3.8	4.0	38.3	60	3,750	14.5	14.7	11.3	19.1	-
B	Nishimata-higashimata	5	0.203	10	3,286	7.4	9.6	23.5	66	1,187	26.9	31.9	10.8	23.5	0.20
		6	0.078	11	2,782	7.8	10.5	24.4	67	2,282	24.3	27.0	8.6	24.9	-
C	Nakanokawayama	7	0.089	28	2,337	17.4	22.0	11.9	54	1,663	24.5	29.4	10.0	22.6	-
		8	0.043	28	2,581	15.2	17.7	13.0	54	1,605	23.2	26.2	10.8	20.9	0.18
		9	0.055	28	3,364	17.5	18.2	9.9	54	1,982	26.2	28.0	8.6	23.3	-
		10	0.035	27	4,943	13.1	13.5	10.8	53	1,314	21.8	26.0	12.7	20.1	0.69
		11	0.036	27	3,306	12.3	14.8	14.1	53	1,750	21.0	23.2	11.4	18.3	0.32
		12	0.036	27	5,250	10.3	11.0	13.4	53	2,833	18.2	20.7	10.3	16.9	-
D	Kudarukawayama	13	0.116	14	2,509	11.3	14.5	17.7	61	707	33.3	40.8	11.3	27.5	0.44
		14	0.123	14	2,236	10.4	13.9	20.3	61	780	33.6	41.0	10.7	27.7	0.28
		15	0.106	14	2,189	11.3	15.6	18.9	61	868	33.4	40.6	10.2	27.4	0.26
		16	0.113	14	2,469	10.2	14.1	19.8	61	1,416	29.5	31.8	9.0	26.6	-

528 \*DBH is diameter at breast height, and Sr is relative spacing index. SI is site index, which is calculated dominant height at 40 years old. Cumulative  
529 thinning rate (cumulative thinning volume/gross yield) is calculated between first and last measurements.

530 Table 2. Summary of the test data in Plot 1 and Plot 2 collected at Ichinotani-yama. Values in parentheses are standard deviations

		Age										Area (ha)	SI
		12	17	22	30	36	41	46	56	62	65		
<b>(a) Plot 1</b>	<b>Mean height (m)</b>	<b>7.6</b>	<b>9.9</b>	<b>11.7</b>	<b>13.7</b>	<b>17.4</b>	<b>19.3</b>	<b>20.6</b>	<b>21.6</b>	<b>22.1</b>	<b>22.5</b>	<b>0.109</b>	<b>22.1</b>
		(2.2)	(2.6)	(2.9)	(3.6)	(2.9)	(2.8)	(3.0)	(3.2)	(3.4)	(3.6)		
	<b>Mean DBH (cm)</b>	<b>9.9</b>	<b>13.0</b>	<b>15.3</b>	<b>17.3</b>	<b>21.2</b>	<b>25.8</b>	<b>27.2</b>	<b>30.3</b>	<b>31.6</b>	<b>33.1</b>		
		(3.5)	(4.7)	(5.7)	(7.1)	(6.2)	(6.2)	(6.8)	(7.8)	(8.5)	(9.5)		
	<b>Mean volume (m<sup>3</sup>)</b>	<b>0.044</b>	<b>0.091</b>	<b>0.143</b>	<b>0.212</b>	<b>0.334</b>	<b>0.505</b>	<b>0.591</b>	<b>0.759</b>	<b>0.842</b>	<b>0.945</b>		
	(0.0)	(0.1)	(0.1)	(0.2)	(0.2)	(0.3)	(0.3)	(0.4)	(0.5)	(0.6)			
	<b>Stand density (trees ha<sup>-1</sup>)</b>	<b>1844</b>	<b>1844</b>	<b>1844</b>	<b>1477</b>	<b>835</b>	<b>826</b>	<b>826</b>	<b>826</b>	<b>807</b>	<b>807</b>		
<b>(b) Plot 2</b>	<b>Mean height (m)</b>	<b>6.0</b>	<b>8.1</b>	<b>9.6</b>	<b>10.9</b>	<b>13.4</b>	<b>15.1</b>	<b>16.0</b>	<b>17.4</b>	<b>18.4</b>	<b>19.3</b>	<b>0.109</b>	<b>18.0</b>
		(1.9)	(2.1)	(2.2)	(2.7)	(2.4)	(2.5)	(2.7)	(2.7)	(2.7)	(3.0)		
	<b>Mean DBH (cm)</b>	<b>7.7</b>	<b>10.5</b>	<b>12.6</b>	<b>13.9</b>	<b>16.6</b>	<b>20.7</b>	<b>21.9</b>	<b>25.5</b>	<b>27.1</b>	<b>28.7</b>		
		(3.0)	(3.8)	(4.4)	(5.3)	(5.2)	(5.8)	(6.2)	(7.4)	(8.3)	(8.5)		
	<b>Mean volume (m<sup>3</sup>)</b>	<b>0.023</b>	<b>0.051</b>	<b>0.081</b>	<b>0.114</b>	<b>0.174</b>	<b>0.280</b>	<b>0.329</b>	<b>0.468</b>	<b>0.552</b>	<b>0.639</b>		
	(0.0)	(0.0)	(0.1)	(0.1)	(0.1)	(0.2)	(0.2)	(0.3)	(0.4)	(0.4)			
	<b>Stand density (trees ha<sup>-1</sup>)</b>	<b>2147</b>	<b>2147</b>	<b>2147</b>	<b>1771</b>	<b>881</b>	<b>872</b>	<b>872</b>	<b>872</b>	<b>853</b>	<b>853</b>		

531

532 Table 3. Parameters for the volume equation for Japanese cedar trees in Tosa regions  
533 according to Hosoda et al. (2010).

Diameter class (cm)	Intercept	H (m)	DBH <sup>2</sup> (m)	H*DBH <sup>2</sup>
DBH <11	-0.00018	0.00006901	0.58810351	0.38337273
DBH <21	-0.01266	0.00177071	1.04476089	0.2964403
DBH <31	-0.03328	0.00442833	1.42509179	0.25603657
31 ≤ DBH	-0.51335	0.02464082	4.65164113	0.11705915

534

535

536 Table 4. Parameter estimation for the height and diameter growth models, and survival model

		Parameter	Description	Estimate
(1)	Height growth model	a <sub>0</sub>	Intercept	-2.582
		a <sub>1</sub>	Age	-0.002
		a <sub>2</sub>	Height	0.002
		a <sub>3</sub>	Height <sup>2</sup>	-0.001
		a <sub>4</sub>	BAL	-0.078
		a <sub>5</sub>	SI	0.089
(2)	Diameter growth model	b <sub>0</sub>	Intercept	-1.971
		b <sub>1</sub>	Age	-0.051
		b <sub>2</sub>	DBH	0.126
		b <sub>3</sub>	DBH <sup>2</sup>	-0.001
		b <sub>4</sub>	Sr	0.020
(3)	Survival model	c <sub>0</sub>	Intercept	4.512
		c <sub>1</sub>	DBH	0.008
		c <sub>2</sub>	Sr	0.054
		c <sub>3</sub>	SI	-0.159
		c <sub>4</sub>	DBH * Sr	0.019

537

538

539 Table 5. Evaluation for the height, diameter and survival model.

	Marginal R <sup>2</sup>	Conditional R <sup>2</sup>	RMSE	AB	AUC <sup>540</sup>
(1) Height growth model	0.23	0.25	0.2048	0.057	-
(2) Diameter growth model	0.52	0.59	0.2050	0.048	-
(3) Survival model	-	-	-	-	0.84

541 **Figure captions**

542 **Figure1.** Locations of the study site; (A) Asagihara, (B) Nishimata-higashimata, (C)  
543 Nakanokawa-yama, (D) Kudarukawa-yama, (T) Ichinotani-yama. The land area was  
544 provided by the Digital National Land Information (<https://nlftp.mlit.go.jp/ksj/>). The map  
545 projection and coordinate systems were used JGD2000 and UTM zone 53N, respectively.

546 **Figure 2.** Relationship between predicted and observed mean height, DBH, stand density,  
547 tree volume, and stand volume in test site (a) Plot 1 and (b) Plot 2

548 **Figure 3 (a).** Relationship between predicted and observed diameter distribution in Plot  
549 1. The bars indicate actual values, the blue lines indicate predicted values

550 **Figure 3 (b).** The relationship between predicted and observed diameter distribution in  
551 Plot 2. The bars indicate actual values, the blue lines indicate predicted values

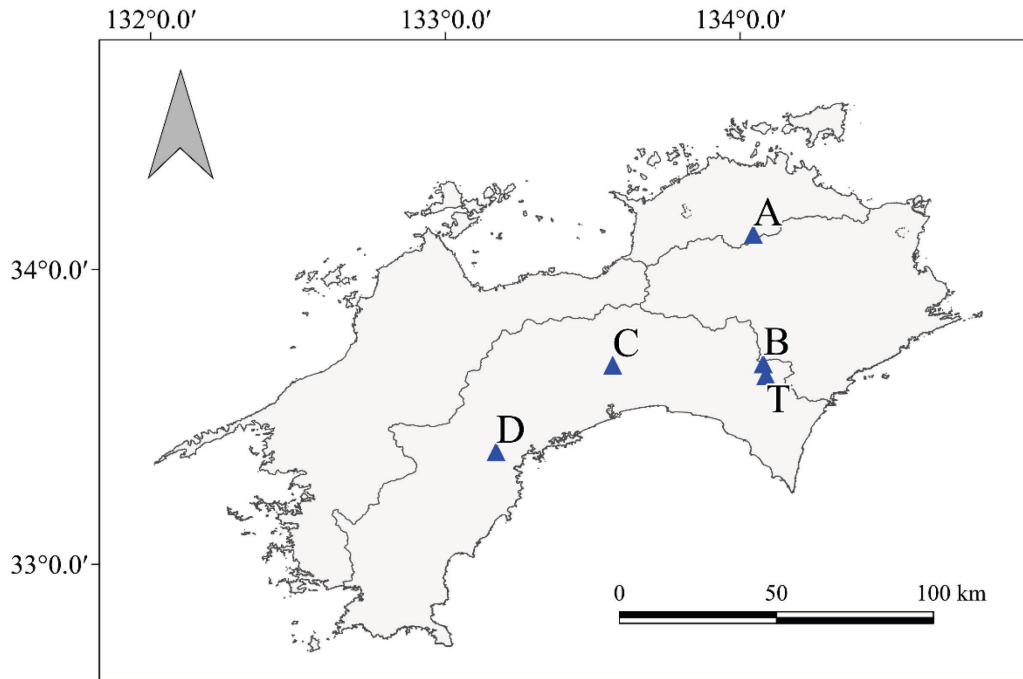
552 **Figure 4.** RMSE trend in Plot 1 and Plot 2 for (a) Height, (b) DBH, and (c) Volume

553 **Figure 5.** Bias trend in Plot 1 and Plot 2 for (a) Height, (b) DBH, and (c) Volume. Grey  
554 line indicates  $y=0$

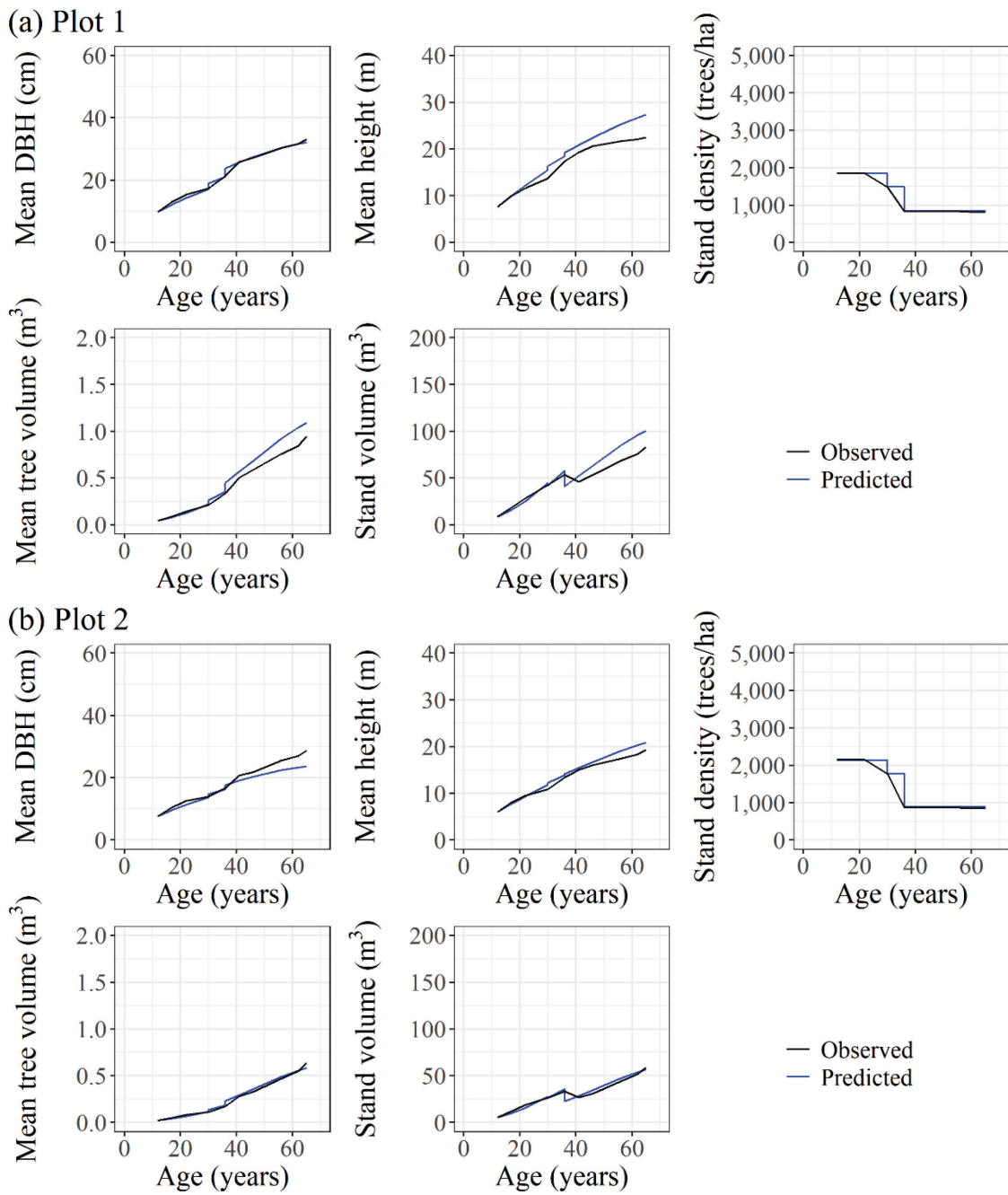
555

556 Figure1.

557



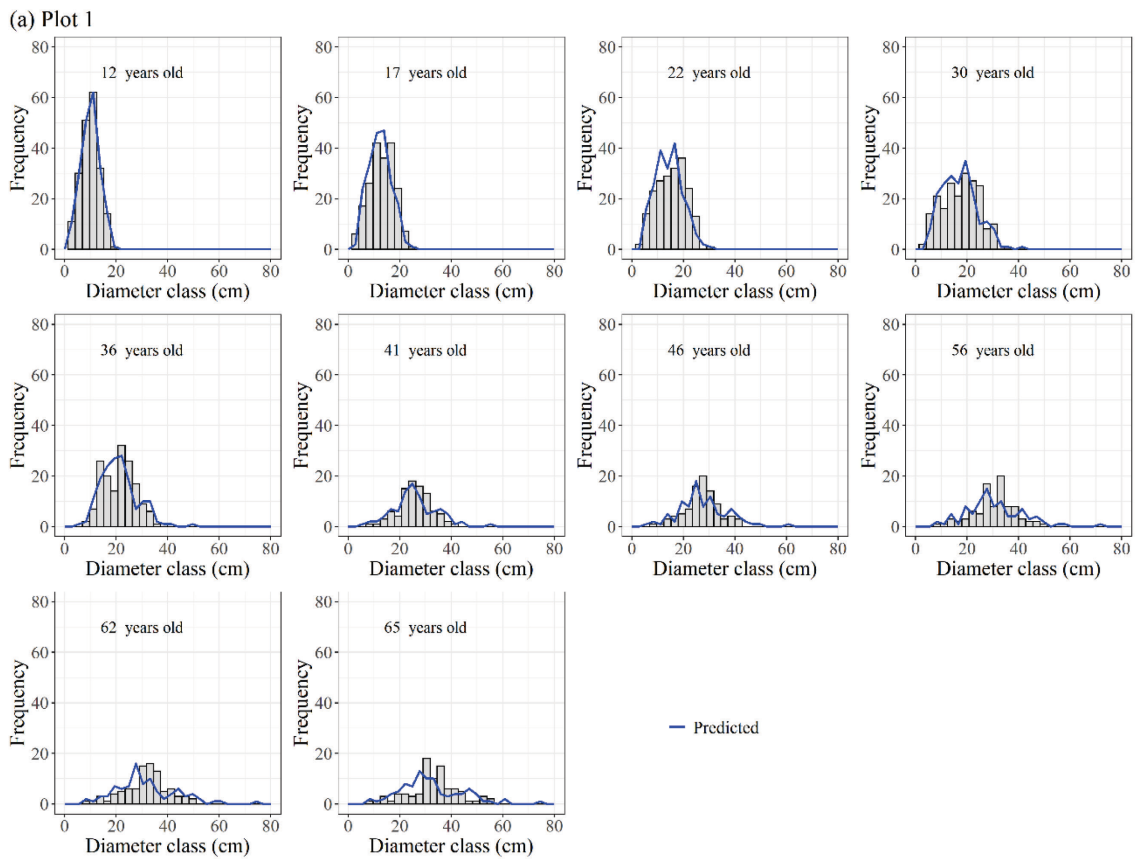
558 Figure 2.



559



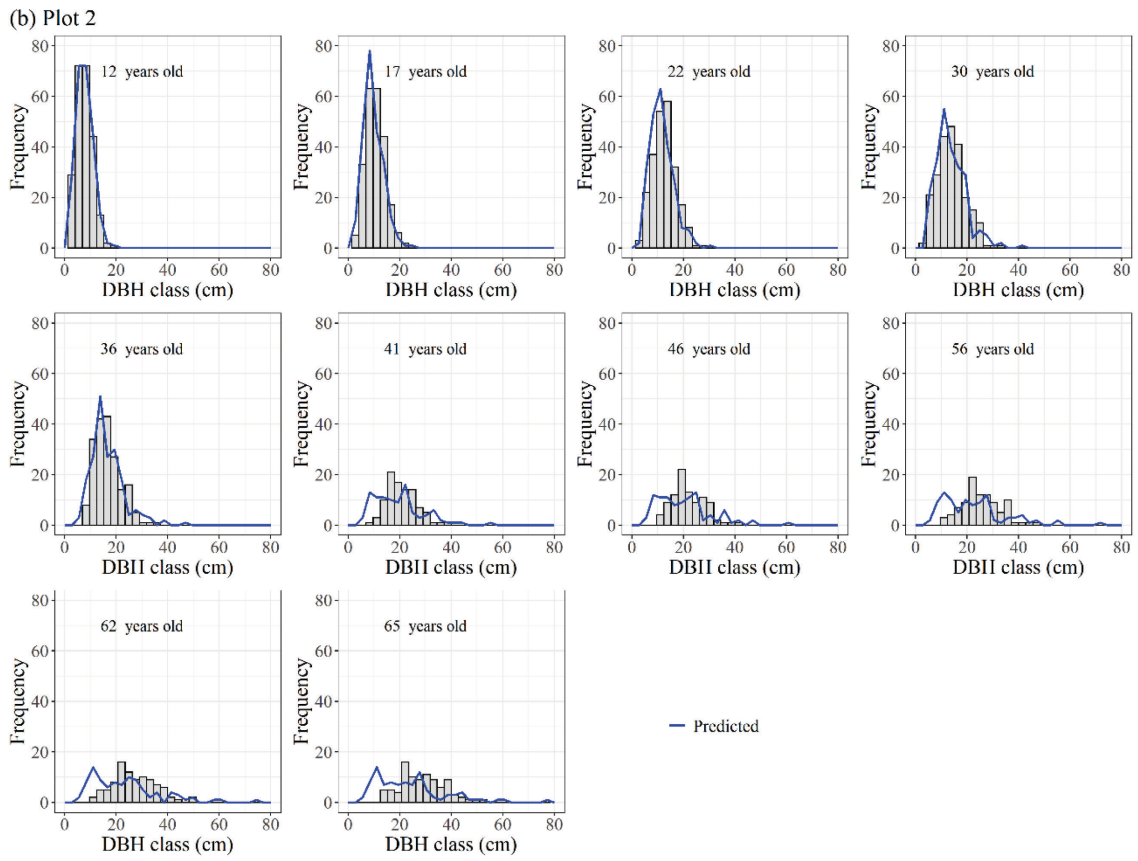
560 Figure 3 (a).



561

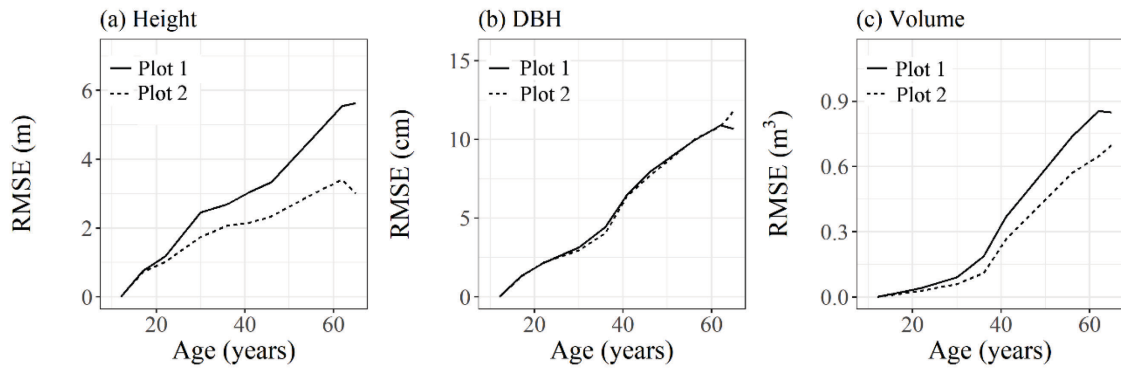
562

563 Figure 3 (b).



564

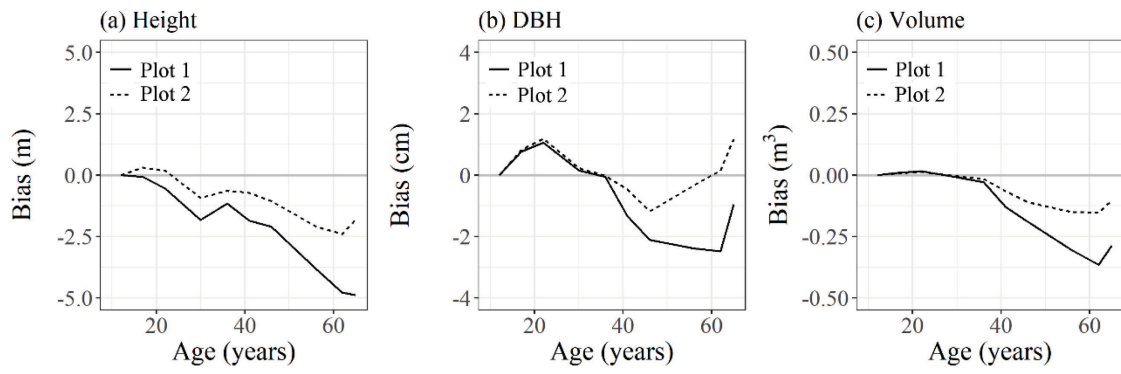
565 Figure 4.



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568 Figure 5.



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