

1 **Effects of dispersed and aggregated retention-cuttings and differently sized clear-cuttings in**
2 **conifer plantations on necrophagous silphid and dung beetle assemblages**

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13 **Abstract**

14 Retention forestry and small-sized clear-cuttings are thought to partially mitigate the impacts
15 of logging. To evaluate the impacts of these two harvesting methods, a variety of logging operations
16 were conducted in mature Sakhalin fir plantation forests in Hokkaido, northern Japan. The stands were
17 logged with the following approaches: dispersed retention of naturally regenerated broad-leaved trees
18 in large (100 trees/ha), medium (50), or small (10) amounts; 60×60 m aggregated retention;

19 quadrilateral small-sized (ca 1-ha) clear-cutting; and whole clear-cutting. We collected necrophagous
20 silphid and dung beetles from these stands and from unharvested natural broad-leaved forests and
21 plantation forests using carrion-baited pitfall traps. All logging operations clearly affected the beetle
22 assemblages. The 0.36 ha unharvested forest patches under aggregated retention did not act as refugia
23 for forest species because their assemblages were almost identical to those outside the forest patches
24 and in the whole clear-cuts. However, the total abundance of forest species and, specifically, the
25 abundances of two dominant forest species were significantly and positively related to the trunk basal
26 area of retained trees in the dispersed retention sites. The total abundance of forest species was
27 significantly higher in the small clear-cuts than in the whole clear-cuts. A dominant open-land species
28 was abundant in the harvested areas irrespective of the type of logging operation. Thus, we concluded
29 that dispersed retention and small-sized clear-cuttings were beneficial harvesting methods for the
30 beetle conservation because they conserved forest species compared to whole clear-cuttings and
31 preserved the habitats of open-land species as well as whole clear-cuttings.

32 **Implications for insect conservation:** Our results indicated that large areas of unharvested forest
33 patches are needed to conserve the habitat of forest necrophagous silphid and dung beetles, most
34 species of which are adept at flying. Our results also indicated that the higher density of retained trees
35 in dispersed retention forestry and the smaller areas of clear-cuttings were better for conserving forest
36 species. Our findings provide useful information for selecting methods and designs of logging

37 practices for species protection of flying insects in logged areas.

38

39 Keywords

40 Silphidae, coprophagous group of Scarabaeoidea, retention forestry, green-tree retention, group

41 retention, necrophagous beetle

42

43 **Introduction**

44 In Japan, conifer plantations that were largely established from the 1960s to 1980s mature and

45 become ready for harvesting. As such, the amount of domestic wood production started increasing in

46 2002 and is expected to increase more in the future (Forestry Agency of Japan 2018). In cases of tree

47 felling, standard clear-cuttings may have some impacts on forest ecosystems by causing increases in

48 landslides and debris flows and decreases in forest species (Imaizumi et al. 2008; Imaizumi and Sidle

49 2012; Aiura et al. 1996; Pawson et al. 2006). Retention forestry (i.e., a measure to retain unharvested

50 live and/or dead trees at harvest time) has been performed in several parts of European, North

51 American, Tasmanian, and Patagonian forests, and a variety of studies on biodiversity have been

52 undertaken there (Gutafsson et al. 2012; Lindenmayer et al. 2012; Mori and Kitagawa 2014; Ozaki et

53 al. 2018). Rosenvald and Lõhmus (2008) demonstrated that the species richness and abundances of

54 birds and ectomycorrhizal fungi were larger in retention-cuts than in clear-cuts, but those of herbs,

55 arthropods, and small mammals did not significantly differ between retention-cuts and clear-cuts.
56 Fedrowitz et al. (2014) showed that the species richness and abundances of both forest and open-land
57 species of most organism groups in the stands under retention forestry were intermediate between
58 those of unharvested forests and clear-cut areas and concluded that retention forestry decreased the
59 negative impacts of tree felling and functioned to conserve open-land species.

60 However, these practices have been examined only in natural forests, and no studies on
61 retention forestry have been performed in plantation forests (Ozaki et al. 2018; Yamaura et al. 2018).
62 Moreover, retention forestry with large experimental study sites has never been undertaken in Asia
63 (Ozaki et al. 2018; Yamaura et al 2018). Thus, as the first attempt at retention forestry in both
64 plantation forests and Asia, the sites of this study, “the Retention Experiment for plantation FoREstry
65 in Sorachi, Hokkaido (REFRESH)”, were initiated in 2013 in conifer plantation forests in Hokkaido,
66 Northern Japan (Akashi et al 2017; Ozaki et al. 2018; Yamaura et al 2018).

67 There are two approaches to retention forestry. Dispersed retention distributes the retained trees
68 through the whole harvested stand. Aggregated (group) retention distributes unharvested areas in a
69 harvested stand. Aggregated retention aims at unharvested areas functioning as refugia of forest
70 species called ‘lifeboat’ (Matveinen-Huju et al. 2006). Aubry et al. (2009) demonstrated that the
71 diversities of most organism groups did not significantly differ between dispersed and aggregated
72 retentions in North America. Baker et al. (2009) showed that aggregated retention benefited ground-

73 active beetles compared to dispersed retention in Tasmania. In the REFRESH, both dispersed and
74 aggregated retentions were installed to compare their advantages (Akashi et al 2017; Ozaki et al. 2018;
75 Yamaura et al 2018).

76 As another measure to mitigate logging impacts, the effects of small clear-cuttings on
77 biodiversity have also been studied. Koivula (2002) showed that the abundances of habitat generalists
78 of carabid beetles were low in small clear-cut areas compared to large clear-cut areas as well as
79 unharvested forests. Ito et al. (2006) showed that strip-cutting (a series of narrow clear-cuts) was more
80 effective in conserving plant species of natural forests than clear-cutting. In the REFRESH, both small-
81 sized clear-cuttings and whole stand clear-cuttings were installed to determine whether small-sized
82 clear-cuttings mitigated the logging impacts compared to standard clear-cuttings (Akashi et al 2017;
83 Ozaki et al. 2018; Yamaura et al 2018). Currently, a variety of investigations, including not only
84 biodiversity but also the commercial values of timber and river water conditions, have been performed
85 in and around the stands of the REFRESH site (Yamaura et al 2018).

86 Necrophagous silphid beetles (Silphidae) and dung beetles (coprophagous group of
87 Scarabaeoidea: Troidae, Geotrupidae, and a part of Scarabaeidae (Scarabaeinae) in this study) clearly
88 respond to forest habitat quality (Ohkawara et al. 1998; Gibbs and Stanton 2001; Davis et al. 2001)
89 and are treated as useful indicators of forest conditions such as tree species, forest age, and tree density
90 (Suzuki 2005; Gardner et al. 2008; Nichols and Gardner 2011). It is also known that studies of

91 necrophagous silphid and dung beetles are generally low cost because of the large collection of beetles
92 by using carrion-baited pitfall traps. Setting only one trap per stand enables the collection of all
93 dominant species in the stand, and the assemblage data are sufficient to compare stands because the
94 trap catches are almost identical in a stand (Nichols and Gardner 2011; Ueda 2015).

95 Necrophagous silphid and dung beetles perform important ecological functions, such as
96 promoting the rapid decomposition of carcasses and influencing nutrient cycling, bioturbation, and
97 plant growth enhancement (Barton et al. 2013). Amézqutta and Favila (2011) showed that a low
98 biomass of necrophagous dung beetles led to a reduced rate of carrion removal. Moreover, beetles also
99 exhibit ecological functions to control necrophagous flies because the flies are in the same food guild
100 as the beetles (Wilson 1983). Gibbs and Stanton (2001) showed that a low abundance of silphid beetles
101 increased the abundance of muscoid flies.

102 As mentioned above, necrophagous silphid and dung beetles are highly sensitive indicators,
103 low cost for study materials, and have important ecological functions. However, no studies on
104 necrophagous silphid and dung beetle assemblages associated with retention forestry and small clear-
105 cuttings have been performed. The purpose of this study was to evaluate the impact of logging
106 practices in conifer plantation forests, including logging for dispersed retention, aggregated retention,
107 small-sized clear-cutting, and whole clear-cutting, on necrophagous silphid and dung beetle
108 assemblages in the REFRESH. We especially focused on whether logging for retention forestry and

109 small-sized clear-cutting mitigated the impacts on beetle diversity compared to those of whole clear-
110 cutting. For the evaluation, 1) we addressed the dominant beetle species to forest species and open-
111 land species. 2) We tested the significance of relationships between the trunk basal area and the beetle
112 assemblages in the dispersed retentions to understand the effects of dispersed retention. 3) We showed
113 the beetle assemblages inside and outside the unharvested forest patch in the aggregated retentions in
114 comparison with the unharvested stands and the whole clear-cuts to estimate the effects of aggregated
115 retention. 4) We compared the beetle assemblages between the small-sized clear-cuts and the whole
116 clear-cuts to understand the effects of small-sized clear-cuttings. Then, 5) we discussed whether
117 retention forestry and small-sized clear-cuttings mitigate the logging impacts on beetle diversity.

118

119 **Materials and Methods**

120 **Study site:** This study was conducted at the REFRESH project sites located on the east and south
121 slopes of Mt. Irumukeppu (864 m asl.), Sorachi District, Central Hokkaido, Northern Japan
122 (43°34'37"-39°26'N, 142°05'27"-09°33'E) (Akashi et al 2017; Yamaura et al 2018). The study sites
123 were 3 natural broad-leaved tree forest stands (NC) dominated by linden (*Tilia japonica*), mono maple
124 (*Acer pictum*), and Mongolian oak (*Quercus crispula*) and 20 mature Sakhalin fir, *Abies sachalinensis*,
125 plantation forest stands that were 56 years old on average (range 48-72 years) in 2014 (Akashi et al
126 2017; Yamaura et al 2018). The stands had edge-to-edge distances of more than 150 m from each other

127 (Akashi et al 2017; Yamaura et al 2018). The first set of 5 types of logging operations was conducted
128 in spring-summer in 2014 at the different plantation stands, and the second and third sets of the 5 types
129 of logging operations were conducted in 2015 and 2016, respectively (5 types × 3 replicates = 15
130 plantation stands) (Table 1). The 5 types of logging operations were as follows: 1) large-amount
131 dispersed retention (SL): ca. one hundred broad-leaved trees that naturally regenerated in the
132 plantation per ha were retained, 2) middle-amount dispersed retention (SM): ca. fifty broad-leaved
133 trees per ha were retained, 3) small-amount dispersed retention (SS): ca. ten broad-leaved trees per ha
134 were retained, 4) aggregated retention (GR): a 0.36 ha (60×60 m) unharvested forest patch was
135 retained at the centre of the stand, and 5) whole clear-cutting (CC): no trees were retained (Table 1).
136 Three and two quadrilateral small-sized (ca 1-ha) clear-cuttings (SC) were conducted in another 9.24
137 and 7.83 ha plantation stands in 2015 and 2016, respectively (5 replicates) (Table 1). The small clear-
138 cuts had edge-to-edge distances of more than 10 m from each other. Sakhalin fir saplings were planted
139 in spring the year following logging operations in the harvested areas. Three residual stands of the 20
140 fir plantations were used as unharvested controls (PC) (Table 1).

141 **Field trapping:** Two baited pitfall traps were set more than 50 m inside from the stand edge at each
142 site except for the aggregated retention stands (GR) and the small clear-cutting sites (SC). These two
143 traps were set more than 50 m apart from each other to prevent trap interference (Larsen and Forsyth
144 2005). In the GR stand, one trap was set near the centre of the 0.36 ha unharvested patch (GRR), and

145 another 2 traps were set in the clear-cut area (GRC) more than 50 m apart from the edge of the
146 unharvested patch (Table 1). At the SC site, one trap was set near the centre (Table 1). Each trap was
147 set on 13-15 June 2017 (Table 1, Fig. 1). The trap frames were the same as those in Ueda et al. (2016)
148 and Ueda (2020); a 20-cm-long grey vinyl chloride pipe was driven into the ground with the opening
149 level with the ground surface, a plastic cup (95 mm in open diameter and 170-mm high) with four 2-
150 mm-diameter holes for drainage on the side (50 mm from the top) was used as the trap and inserted
151 into the pipe; the trap contained 100 ml propylene glycol and a small plastic cup (42 mm in open
152 diameter and 35 mm high) were fixed with steel wire on its upper lip. Another small plastic cup
153 containing 15 g meat of mackerel (*Scomber* spp.) with a perforated lid (having 25 holes, each 1 mm
154 in diameter) was inserted into the suspended cup, and a steel rack (405×250 mm, 30-mm high) was
155 laid over the trap to make slits for the beetles to enter. The steel rack was weighed down with a concrete
156 block (390×190 mm, 120-mm high, 11.3 kg) to prevent rainwater and animals from disturbing the
157 traps. Beetle collection and replacements of propylene glycol and bait were performed on 10-13 July,
158 8-10 August, and 1-4 September. Trapping was finished on 2-3 October (Table 1). The trapping period
159 was 110 or 111 days in total for each trap (Table 1).

160 **Investigation of site conditions:** We used modified data on tree density, broad-leaved tree density,
161 basal area (BA) of tree trunks, and BA of broad-leaved trees measured by Akashi et al. (2017), who
162 measured the diameters at breast height (DBH) of tree trunks and identified tree species with DBHs

163 above 5 cm. Since heavy wind throw occurred at the unharvested forest patch in the aggregated
164 retention site of the third set (GRR3) before this study, we measured the DBH of trees above 5 cm in
165 DBH in the 10×10 m plot on the trapping site on 28 August 2017. We determined the rate of vegetation
166 ground cover in circles with a diameter of approximately 2 m surrounding each trap site on 28-31
167 August 2017. Degrees of ground cover were categorized as follows: 0: no vegetation, 0.5: covered less
168 than approximately 1%, 1: from 1 to 10%, 2: from 10 to 25%, 3: from 25 to 50%, 4: from 50 to 75%,
169 and 5: more than 75% (Braun-Blanquet 1964). Data of site conditions are shown in Table 1.

170 **Identification and storage of specimens and calculation of beetle biomass:** All captured beetles
171 were dried on absorbent cotton and identified using a binocular microscope (Nikon SMZ 1500). We
172 referred to Kurosawa (1985) and Kawai et al. (2005) for identification. All beetles are stored at the
173 Hokkaido Research Center, Forestry and Forest Products Research Institute. Since it is known that the
174 biomass of necrophagous dung beetles is consistent with the extent of carrion removal (Amézquita
175 and Favila 2011), we calculated the total biomass of trapped beetles to estimate their ecological
176 functions. To obtain biomass data for the beetles collected in each trap, beetles of each species were
177 dried for three days at 70°C and 4 additional days at 80°C. Almost all specimens (i.e., unbroken
178 specimens) were weighed for 9 minor species for which fewer than 17 individuals were collected
179 (Appendix table 1). For 7 dominant species for which more than 164 individuals were collected
180 (Appendix table 1), we weighed the beetles in two ways along with the numbers of beetles collected

181 in a collection period. If more than 234 individuals in a collection period were collected, we randomly
182 selected more than 100 specimens (102-137 specimens) in every collection period and weighed them.
183 If fewer than 234 specimens (actually fewer than 91 individuals (Appendix table 1)) were collected in
184 a collection period, almost all specimens were weighed. The biomass of minor species collected per
185 trap was calculated from the products of the number of individuals collected and the mean dry weight
186 of all seasons. The biomass of dominant species collected per trap was calculated from the accumulated
187 weight of each collection period in considering the seasonal changes in beetle body weights. The
188 weight of each collection period was calculated from the products of the numbers of individuals
189 collected in the respective collection periods and the mean dry weights in the periods.

190 **Data analysis:** To collect data from one site, we applied the mean values of beetle capture data where
191 two traps were set. To understand whether retention forestry and small clear-cutting mitigate the
192 logging impacts, we need to evaluate the abundances divided into forest species and open-land species.
193 However, there were no adequate references for the habitat preferences of necrophagous silphid and
194 dung beetles in Japan. Then, we decided the habitat preferences of the dominant species in this study
195 from the collection data of whole harvested stands and unharvested stands. It is known that some
196 species prefer dense or sparse vegetation ground cover irrespective of the different light environments,
197 such as in forests or grasslands (Ueda and Sato 2010). We illustrated the relationships among the trunk
198 basal area, the level of vegetation ground cover, and the number of beetles captured. We addressed the

199 species apparently abundant in unharvested stands to ‘forest species’ and those abundant in harvested
200 stands to ‘open-land species’.

201 To illustrate the differences in beetle assemblages among sites, nonmetric multidimensional
202 scaling (NMS) was used for the ordination of the species composition at each site to analyse the
203 similarities among the site categories. Sorensen distance was used for the analysis. First, we analysed
204 6 axes and 10 runs using the autopilot system of the software to determine the appropriate dimension
205 numbers. Next, we analysed the recommended numbers of axes and 1 run. Multivariate response
206 permutation procedures (MRPPs) were applied to evaluate the effects of the categories on beetle
207 assemblages. In this analysis, when the chance-corrected within-group agreement (A) is unity, all
208 assemblages in the respective groups are identical, and if A is larger than 0.3, the identical level is
209 fairly high, and the grouping is sufficiently reliable (McCune and Grace 2002). PC-ORD ver. 6.07
210 (MJM Software Design 2011) was used for these analyses.

211 To understand the effects of dispersed retention, we analysed the relationships between retention
212 levels and beetle assemblages using data from dispersed retentions and whole clear-cuts. We used the
213 trunk basal area as the explanatory variable and treated the year of harvest as the explanatory variable;
214 the year of harvest could not be treated as a random effect because there were only three levels in this
215 data set. We used the total abundances of all species, forest species, and each dominant species, species
216 richness, and biomass for each site as objective variables. A linear model (LM) was used for biomass.

217 A generalized linear model (GLM) with negative binomial error structures linked with the logarithmic
218 function was used for the other variables. We used the number of valid traps of each site as an offset
219 term in the analyses.

220 To understand the effects of aggregated retention, we illustrated the total abundances of all
221 species and forest species, species richness, and biomass along with the trunk basal area for the sites
222 inside and outside of the 0.36 ha unharvested patch, in the unharvested plantations, and in the whole
223 clear-cuts. We could not perform any statistical analyses for the effects of aggregated retention because
224 of the short numbers of replicates. Then, we estimated the effects of aggregated retention from the
225 figures.

226 To understand the effects of small-sized clear-cuttings, we compared the beetle assemblage data
227 between the small clear-cuts and the whole clear-cuts. We used site categories and the year of harvest
228 as explanatory variables. The objective variables, the models used, and the offset term were the same
229 as the analyses for dispersed retention.

230 For these analyses, the `glm.nb` function of the MASS package (Venables and Ripley 2002) and
231 the `lm` function were used in R 4.1.1 (R Core Team 2021).

232

233 **Results**

234 Since one of the two trap sets was broken by animals at SM3 and GRC2, data from these traps

235 were deleted from analyses (Table 1). In total, 16 species and 21,579 individuals of necrophagous
236 silphid and dung beetle were collected (Appendix tables 1 and 2). The most abundant species,
237 *Onthophagus ater*, was addressed to the open-land species because of the apparent low collections in
238 the unharvested stands (Fig. 2a). *Nicrophorus quadripunctatus*, *Geotrupes laevistriatus*, *Nicrophorus*
239 *investigator*, and *Nicrophorus tenipes* were addressed to the forest species because of the apparent
240 high collections in the unharvested stands (Fig. 2b, d, e, and g). In these forest species, *G. laevistriatus*
241 was especially abundant in the natural broad-leaved forests (Fig. 2d). No species showed a clear
242 response to the levels of vegetation ground cover (Fig. 2).

243 The NMS analysis of the beetle assemblages recommended a two-dimensional solution, and
244 the value of final stress (= 8.3%) from the analysis using 2 axes and 1 run indicated that the result was
245 sufficiently reliable. The MRPP results ($A = 0.224$, $P = 0.0005$) indicated that categorization was
246 reliable and that categories were moderately separated overall. The coordinates were largely separated
247 into unharvested stands and harvested stands along axis 1, which had a high contribution rate (Fig. 3).

248 In the analyses of the effects of dispersed retention, the total abundance, total biomass, and total
249 abundance of forest species were significantly and positively related to trunk basal area (Table 2, Fig
250 4). The abundances of two forest species, *N. quadripunctatus* and *G. laevistriatus*, were also
251 significantly and positively related to trunk basal area (Table 2; additionally, refer to the circle sizes
252 of the harvested stands in Fig. 2b and d). The total abundance of forest species and the abundance of

253 *N. quadripunctatus* were significantly and negatively related to both 2015 and 2016, but the p values
254 for the years were larger than those for the trunk basal area (Table 2). Species richness and the
255 abundances of an open-land species, *O. ater*, and of two forest species, *N. investigator* and *N. tenuipes*,
256 did not significantly relate to any variables (Table 2, Additionally, refer the circle sizes of the harvested
257 stands in Fig. 2a, e and g).

258 In the figures for the effects of aggregated retention, total abundance did not clearly differ
259 among site categories (Fig. 5a). Species richness, total biomass, and total abundance of forest species
260 were high at the sites in the unharvested plantations but did not differ among the sites in and outside
261 the unharvested patch and the sites in the whole clear-cuts (Fig. 5b, c, and d). The abundance of an
262 open-land species, *O. ater*, did not differ among sites except for the unharvested plantation sites where
263 this species had low abundances at two of the three sites (Fig 5e).

264 In the analyses of the effects of small-sized clear-cutting, the total abundance of forest species
265 was significantly and negatively related to whole clear-cutting (Table 3, Fig 6). No significant
266 relationships occurred in the analyses for the effects of small-sized clear-cutting other than this (Table
267 3).

268

269 **Discussion**

270 **Mitigation of logging impacts by retention forestry**

271 Different coordinates of the NMS analysis between unharvested stands and harvested stands
272 indicated that logging operations strongly affected necrophagous silphid and dung beetle assemblages.
273 This was supported by the MRPP results, which showed relatively identical beetle assemblages in
274 each site category and significant differences among the site categories. However, the results on the
275 forest species in this study indicated that dispersed retention mitigated the logging impacts for the
276 forest species. This was identical to the result on the carabid beetle assemblages conducted at the same
277 sites as this study (Yamanaka et al. 2021) and the results on most organism groups (Fedrowitz et al.
278 2014). The results for the open-land species in this study indicated that dispersed retention did not
279 affect its abundance. This was not identical to the result on the carabid beetle assemblages conducted
280 at the same sites as this study (Yamanaka et al. 2021) and the results on most organism groups
281 (Fedrowitz et al. 2014). The levels of dispersed retention in this study might have been too low to
282 reveal a decrease in the open-land species. Further study needs to be conducted at higher levels of
283 dispersed retention to clarify this. The increased abundances of forest species and no increase or
284 decrease in the open-land species along the trunk basal area resulted in significant increases in the
285 total abundance of all species and the total biomass in this study. The significantly lower abundances
286 of the total forest species and *N. quadripunctatus* at the site logged in both 2015 and 2016 than in 2014
287 is notable because this result suggests the rapid recovery of the forest species in the harvested area.
288 Periods needed for recovering forest species depend on species or groups (Magura et al. 2015). The

289 continued study at the same sites of this study will clarify whether the forest species increase in the
290 harvested area year by year.

291 The 0.36 ha unharvested forest patch in the aggregated retention was thought not to act as a
292 lifeboat for forest species in this study because the assemblages were almost identical to those outside
293 the forest patch and those in the whole clear-cuts. On carabid beetles, the 0.36 ha unharvested forest
294 patch clearly acted as a life-boat for forest species (Yamanaka et al. 2021). Similar results were found
295 on carabid beetles in other studies (Baker et al. 2009; Work et al. 2010; Baker et al. 2016; Wu et al.
296 2019). These results largely differed from our results on necrophagous silphid and dung beetle
297 assemblages. Three of the four forest species in this study belong to the genus *Nicrophorus*, which
298 flies well and needs a large foraging area in which search for patchy distributed resources such as the
299 dead bodies of small vertebrates (Creighton and Schnell 1988; Attisano and Kilner 2015). The
300 unharvested patch was too small to maintain the population of forest *Nicrophorus*, and its surrounding
301 clear-cut area limited the migration of the beetles lured by the bait odour of the trap to reach the
302 unharvested patch. In contrast, many forest dwelling species or individuals of carabid beetles are
303 brachypterous, which leads to their populations remaining in relatively small habitats (Boer 1970;
304 Koivula et al. 2004). Another forest species in this study, *G. laevistriatus*, is known to be flightless in
305 Hokkaido (Suzuki et al. 2001), but this species was originally present at low abundance in conifer
306 plantations. Since the open-land species *O. ater* were abundant in the unharvested forest patches as

307 well as outside the patches and the whole clear-cuts, the unharvested forest patch may have not
308 influenced this species. The same abundances of open-land species between in- and outside the
309 unharvested forest patches were also observed on carabid beetles (Yamanaka et al. 2021).

310 **Mitigation of logging impacts by small-sized clear-cutting**

311 No difference in each forest species between small-sized clear-cuttings (ca 1-ha) and whole
312 clear-cuttings (> 6 ha) indicated that the small-sized clear-cuttings did not mitigate the logging impacts
313 on each species level. However, the significant difference in the total abundance of forest species
314 suggests that the small-sized clear-cuttings weakly mitigate the logging impacts for the forest species
315 in total. Ueda and Sato (2020) utilized the same traps used in this study and collected necrophagous
316 silphid and dung beetles at the centres of 30×30 m (0.09 ha) and 100×80 m (0.8 ha) clear-cuttings that
317 were surrounded by 40-year-old conifer plantations in Sapporo. They showed that the beetle
318 assemblage in the 0.09 ha area was the same as that of conifer plantations but that in the 0.8 ha area
319 was the same as that of the nearby grassland. Ueda (2020) also showed that the beetle assemblage on
320 the centre line of 20-m wide strip-cuts in a conifer plantation resembled those ca. 20-year-old natural
321 forests and differed from those in the other open-lands used as the log yards. Since the beetle
322 assemblages in the small clear-cuts in this study clearly differed from those in unharvested stands, the
323 size of the small clear-cut in this study was too large for almost all beetles of the forest species to be
324 lured to the centre of the unfavourable open-land. However, a few beetles of forest species reached

325 the centre and this made the small clear-cut in this study weakly mitigate the logging impacts
326 compared to the whole clear-cut. Since the open-land species *O. ater* did not differ in abundance
327 between the small clear-cuts and the whole clear-cuts, the small-sized clear-cuttings may have not
328 influenced this species. An open-land species in Kyushu, southern Japan, *Onthophagus nitidus*, was
329 more abundant in the centre line of 20 m-wide strip-cuts than in the other open-lands used as log yards
330 (Ueda 2020), suggesting that this open-land species prefers small open-lands to large ones. Further
331 studies in a variety of sizes of clear-cuttings will clarify the area-dependent effects on the logging
332 impacts for necrophagous silphid and dung beetle assemblages.

333 **Habitat preferences of the beetles**

334 *N. quadripunctatus* has been identified as a forest species in many studies (Katakura and
335 Fukuda 1974; Katakura and Ueno 1985; Katakura et al. 1985; Ohkawara et al. 1998; Nagano and
336 Suzuki 2003; Sugiura et al. 2012; Ueda 2016) as well as in this study. *N. tenuipes* was also identified
337 as a forest species in a study (Katakura and Fukuda 1974) as well as in this study. These two species
338 must be the forest species. Although *N. investigator* was identified as a forest species in this study,
339 Katakura and Fukuda (1974) showed that *N. investigator* was abundant in both forests and open-lands
340 in northern Hokkaido. Moreover, Wilhelm et al. (2001) showed that *N. investigator* preferred open-
341 lands to forests on Great Island, Canada. *N. investigator* may prefer forests in warm regions such as
342 the sites of this study, central Hokkaido, and change to open-land habitat in cold weather. Conversely,

343 although *O. ater* was identified as an open-land species in this study, *O. ater* was abundant in both
344 forests and open-lands in Sapporo, lowland of central Hokkaido (Ueda and Sato 2020). Moreover, *O.*
345 *ater* was identified as a forest species in Kyushu, the southern island of Japan (Ueda 2016, 2020). *O.*
346 *ater* may also prefer forests in warm regions and change to open-land habitat in cold weather, similar
347 to the sites of this study. Further studies are needed to clarify the habitat preferences of these two
348 species.

349 It is known that the seasonal segregation of *Nicrophorus* species that overlap in body size occurs
350 (Wettlaufer et al. 2021). However, the typical forest species, *N. quadripunctatus*, decreases its activity
351 in summer in warm regions, although there are no competitive *Nicrophorus* species that overlap body
352 sizes with *N. quadripunctatus* (Nagano and Suzuki 2003; Ueda and Ohara 2018). This species may
353 control its activity to endure the hot season in warm regions without habitat change. *G. laevistriatus*
354 was remarkably abundant in the unharvested natural broad-leaved stands in this study, and this was
355 also observed in Sapporo, Hokkaido (Ueda and Sato 2020). These results suggest that this species is
356 an indicator species of natural broad-leaved forests in Hokkaido. However, the abundances of this
357 species were not different between natural broad-leaved forests and conifer plantations in Kyushu
358 (Ueda 2016, 2020). Further studies are needed to clarify the local differences in the habitat preference
359 of this species.

360 *Silpha perforate* is known to prefer sites with high vegetation cover degrees irrespective of the

361 light environment (Ueda and Sato 2020). Conversely, *Nicrophorus maculifrons* is known to prefer
362 sites with low vegetation cover degrees irrespective of the light environment (Ueda and Sato 2020).
363 Neither *S. perforate* nor *N. maculifrons* showed preferences for the light environments in this study
364 nor in previous studies (Katakura and Fukuda 1974; Katakura and Ueno 1985; Katakura et al. 1985;
365 Ueda and Sato 2020). However, these two species also did not show preferences for the vegetation
366 cover degrees in this study. Some flightless forest carabid beetles are known not to decrease their
367 abundances a few years after logging (Koivula 2002). Since *S. perforate* is flightless (Ikeda et al. 2007),
368 the density of this species before logging may still strongly affect the abundances in this study
369 irrespective of the vegetation ground cover. It is difficult to discuss the reason why *N. macurifrons* did
370 not show a clear preference for low vegetation ground cover levels in this study.

371

372 **Conclusion**

373 In Japan, conifer plantations mature and become ready for harvesting in the large areas. To
374 conserve forest ecosystems, harvesting methods that mitigate logging impacts need to be developed.
375 Among harvesting methods, retention forestry and small-sized clear-cuttings are thought to partially
376 mitigate logging impacts, and they were evaluated in mature Sakhalin fir plantation forests in
377 Hokkaido, northern Japan, where a variety of logging operations have been conducted. We collected
378 necrophagous silphid and dung beetles in logged stands, unharvested natural broad-leaved forests, and

379 unharvested plantation forests using carrion-baited pitfall traps. All logging operations strongly
380 affected the beetle assemblages. The beetle assemblages in the 0.36 ha unharvested forest patch under
381 the aggregated retention stands were almost identical to those outside the unharvested forest patch and
382 those in the whole clear-cuts. This result suggested that the unharvested forest patch did not act as
383 refugia (lifeboat) for the forest species. However, the total biomass (dry weight) of beetles, the total
384 abundance of forest species, and the abundances of two dominant forest species were significantly and
385 positively related to the trunk basal area of retained trees in the dispersed retention stands. This result
386 indicated that dispersed retention mitigated the logging impacts for forest species and the estimated
387 ecological function of the beetles. The total abundance of forest species was significantly higher in the
388 small clear-cuts than in the whole clear-cuts. This result showed that the small-sized clear-cuttings
389 weakly mitigated the logging impacts. An open-land species, *O. ater*, was abundant in the harvested
390 area irrespective of the amount of retained trees, the retained forest patch, and the size of the clear-
391 cutting area. These results indicated that the retention forestry and the small clear-cuttings did not
392 prevent this open-land species from entering the harvested area. Finally, we concluded that the
393 dispersed retentions and the small-sized clear-cuttings are more useful harvesting methods for
394 conserving forest species of necrophagous silphid and dung beetles than whole clear-cuttings and for
395 preserving the habitats of open-land species as well as whole clear-cuttings.

396 *O. ater* and *N. investigator* were identified as open-land species and forest species, respectively,

397 in this study, but these species may change their habitats along with longitudinal and/or altitudinal
398 temperature changes.

399

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411

412 **Authors contributions** AU conceived the ideas and designed the methodology; AU and SS collected
413 the data; AU and HI analysed the data; AU wrote the manuscript; all authors contributed critically to
414 the drafts and gave final approval for publication.

415

416 **Ethical standards**

417 **Conflict of interest** The authors declare no conflicts of interest.

418

419 **References**

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570

571 **Figure legends**

572 Fig. 1 Photos of traps set at NC1 (photo A), PC1 (B), SL3 (C), SM3 (D), SS1 (E), GRR2 (F), GRC2

573 (G), SC3-1 (H), and CC2 (I). All photos were taken on 13-15 June, 2017. Refer to Table 1 for

574 site names.

575

576 Fig. 2 Relationships among the abundances of dominant beetle species, the trunk basal area, and rank

577 of vegetation ground cover in the whole harvested stands and the unharvested stands.
578 Open circle: whole harvested stand, grey circle: unharvested natural broad-leaved forest, and
579 closed circle: unharvested fir plantation forest.
580 (O): species categorized as open-land species, (F): species categorized as forest species.

581

582 Fig. 3 Results of NMS analysis as applied to ordinate sites with similarities of necrophagous silphid
583 and dung beetle assemblages

584 Final stress = 8.3%. Abbreviations of site categories are defined in Table 1. Parenthesized % on
585 titles of axes indicate the proportion of variance represented by each axis, based on the r^2
586 between the distance in the ordination space and the distance in the original space.

587

588 Fig. 4 Relationships between trunk basal area and total abundance (a), total biomass (b), and total
589 abundance of forest species (c) in the dispersed retention sites and the whole clear-cuts.

590

591 Fig. 5 Relationships between trunk basal area and total abundance (a), species richness (b), total
592 biomass (c), total abundance of forest species (d), and an open-land species, *Onthophagus ater*
593 (e), in the unharvested fir plantation forest (closed circle), inside the 0.36 ha unharvested forest
594 patch in aggregated retention (open circle), outside the unharvested forest patch in aggregated

595 retention (closed triangle), and in the whole clear-cut (open triangle).

596

597 Fig. 6 Total abundance of forest species in small clear-cuts and whole clear-cuts

598

599 Titles and footnotes of tables

600 Table 1 Characteristics of study sites, environmental variables used for analyses, number of traps set,

601 and trapping period

602 BLT means broad-leaved tree

603 a Referred Akashi et al. (2017) except for GRR3, where windthrow had occurred before beginning.

604 b Mean of each trap site. The vegetation ground covers were observed on 28-31 Aug. 2017.

605 c Result from the trees in a 10×10 m plot in which the centre was a trapping site measured on 28 Aug.

606 2017.

607 d Two traps were set, but one trap was broken by animals.

608

609 Table 2 Results of analyses for the effects of dispersed retention

610 O: open-land species, F: forest species. nb means negative binomial distribution. t value was used for

611 normal distribution.

612

613 Table 3 Results of analyses for the effects of small clear-cutting

614 O: open-land species, F: forest species. nb means negative binomial distribution. t value was used for
615 normal distribution.

616

617 Appendix table 1 Number of beetles captured in each collection period

618

619 Appendix table 2 Number of beetles captured in each trap

Table 1 Characteristics of study sites, environmental variables used for analyses, number of traps set, and trapping period

Site	Site name	Site No.	Area (ha)	Year of harvest	Tree density ^a (n/ha)	BLT density ^a (n/ha)	Basal area ^a (m ² /ha)	BLT basal area ^a (m ² /ha)	Vegetation ground cover ^b (index 0-5)	No. traps set	Trapping period in 2017 (days)
Unharvested natural broad-leaved tree (BLT) forest	NC	1	4.96	-	994	994	51.68	51.68	3.0	2	15 Jun - 3 Oct (110)
		2	5.55	-	787	756	36.75	34.91	2.5	2	13 Jun - 2 Oct (111)
		3	6.61	-	825	744	28.47	19.42	1.5	2	14 Jun - 2 Oct (110)
Unharvested Sakhalin fir plantation forest (ca 50 years old)	PC	1	5.87	-	868	239	39.91	3.99	4.0	2	15 Jun - 3 Oct (110)
		2	7.63	-	964	175	41.68	3.92	2.5	2	14 Jun - 3 Oct (111)
		3	6.26	-	700	136	35.88	2.92	2.5	2	14 Jun - 2 Oct (110)
Dispersed retention-cutting with large-amount of BLT (ca 100 trees/ha)	SL	1	7.94	2014	103	103	5.79	5.79	4.5	2	15 Jun - 3 Oct (110)
		2	7.92	2015	109	109	7.50	7.50	3.0	2	14 Jun - 3 Oct (111)
		3	6.99	2016	107	107	7.37	7.37	2.0	2	15 Jun - 3 Oct (110)
Dispersed retention-cutting with middle-amount of BLT (ca 50 trees/ha)	SM	1	7.85	2014	51	51	3.76	3.76	4.0	2	15 Jun - 3 Oct (110)
		2	7.10	2015	60	60	2.17	2.17	4.5	2	13 Jun - 2 Oct (111)
		3	7.72	2016	57	57	4.26	4.26	4.0	1 ^d	15 Jun - 3 Oct (110)
Dispersed retention-cutting with small-amount of BLT (ca 10 trees/ha)	SS	1	6.30	2014	11	11	0.59	0.59	3.0	2	15 Jun - 3 Oct (110)
		2	7.49	2015	13	13	0.75	0.75	5.0	2	13 Jun - 2 Oct (111)
		3	5.76	2016	10	10	0.46	0.46	3.5	2	13 Jun - 2 Oct (111)
60×60 m unharvested patch of aggregated retention-cutting	GRR	1	0.36	-	833	47	49.16	1.32	1.0	1	15 Jun - 3 Oct (110)
		2	0.36	-	661	36	48.92	1.60	3.0	1	13 Jun - 2 Oct (111)
		3	0.36	-	100 ^c	0 ^c	1.19 ^c	0 ^c	5.0	1	14 Jun - 2 Oct (110)
Clear-cutting area of aggregated retention-cutting	GRC	1	6.42	2014	0	0	0	0	4.0	2	15 Jun - 3 Oct (110)
		2	7.87	2015	0	0	0	0	3.0	1 ^d	13 Jun - 2 Oct (111)
		3	6.03	2016	0	0	0	0	3.0	2	14 Jun - 2 Oct (110)
Quadrilateral small-sized (ca 1-ha) clear-cutting	SC	2-1, 2, 3	1.00×3	2015	0	0	0	0	4.0	1×3	14 Jun - 2 Oct (110)
		3-1, 2	1.00×2	2016	0	0	0	0	3.0	1×2	13 Jun - 2 Oct (111)
Whole clear-cutting	CC	1	6.89	2014	0	0	0	0	5.0	2	14 Jun - 3 Oct (111)
		2	7.87	2015	0	0	0	0	4.5	2	13 Jun - 2 Oct (111)
		3	6.17	2016	0	0	0	0	3.5	2	14 Jun - 2 Oct (110)

BLT means broad-leaved tree

^a Referred Akashi et al. (2017) except for GRR3, where windthrow had occurred before beginning.

^b Mean of each trap site. The vegetation ground covers were observed on 28-31 Aug. 2017.

^c Result from the trees in a 10×10 m plot in which the centre was a trapping site measured on 28 Aug. 2017.

^d Two traps were set but one trap was broken by animals.



Figure 1

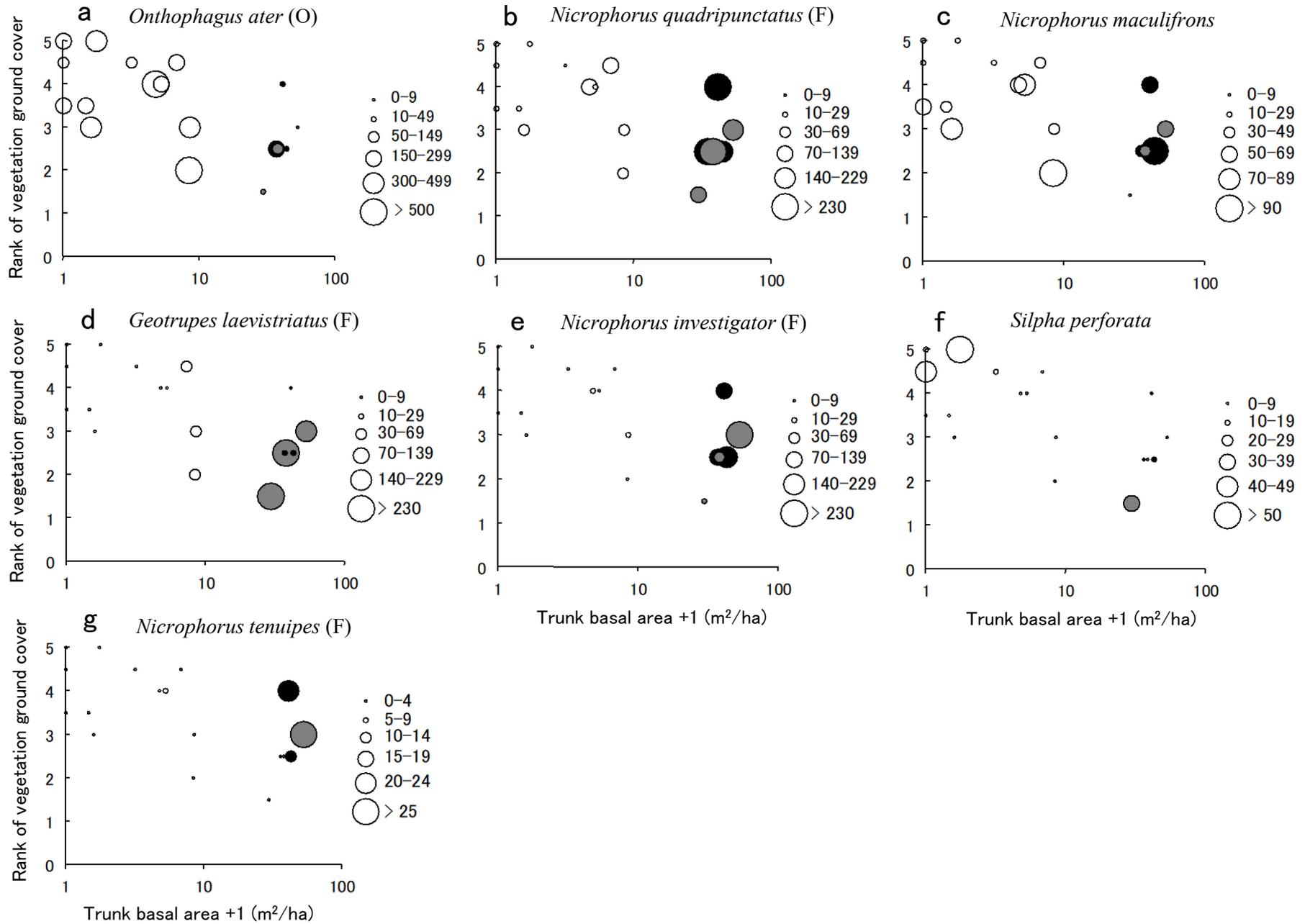
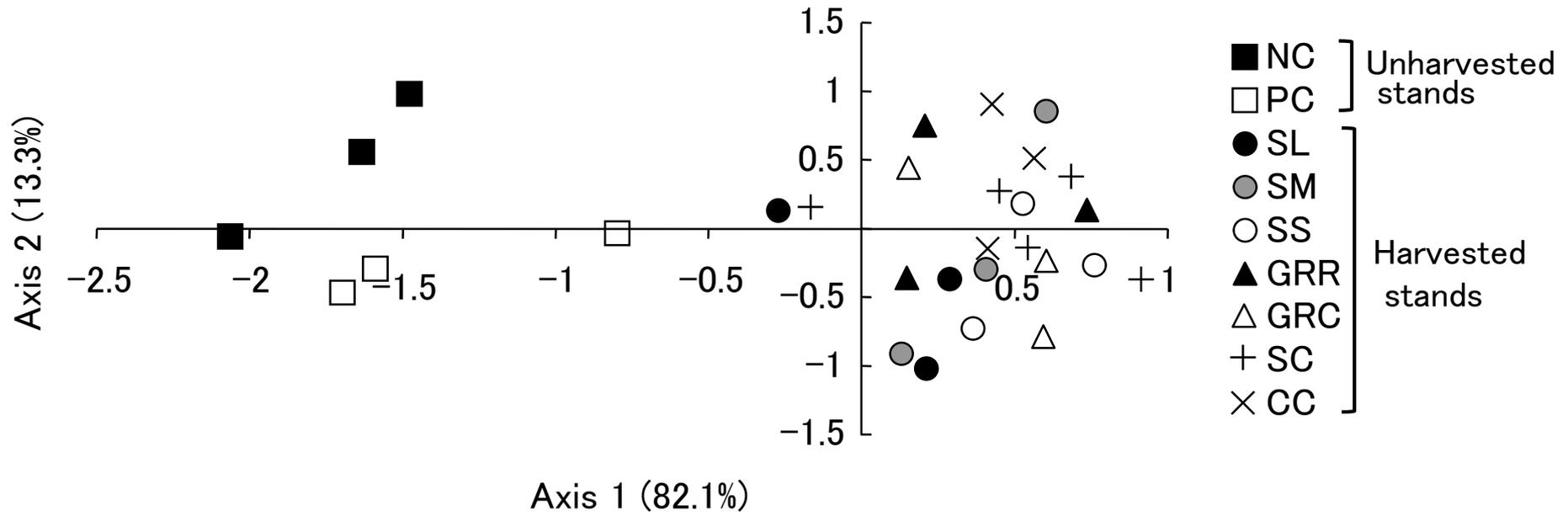


Figure 3



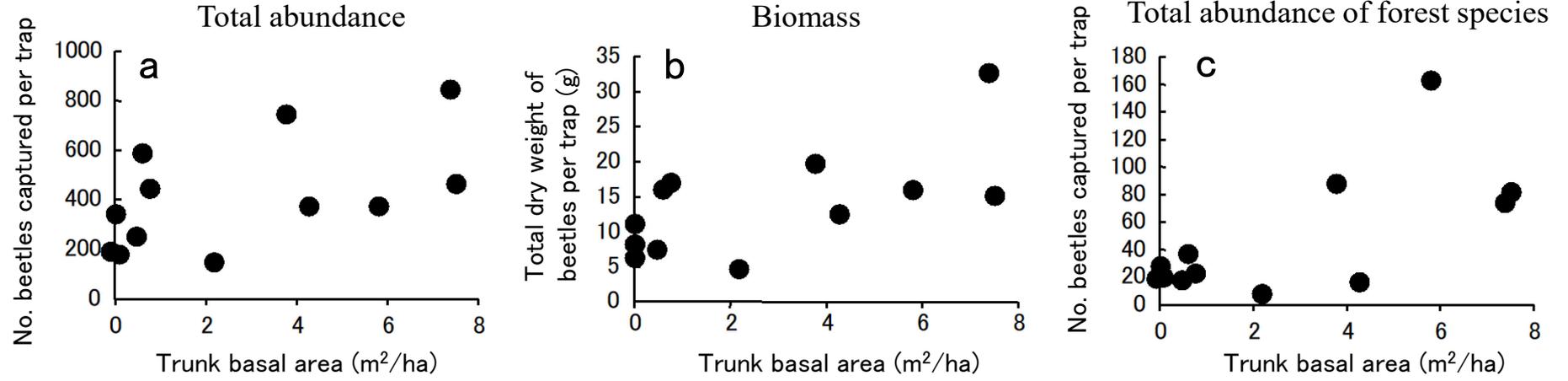


Figure 5

