

1 Title:

2 Rooting of sugi cuttings in closed and semi-closed conditions under mist irrigation

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20 **Abstract**

21 We examined whether 100% atmospheric humidity had a positive or negative effect on rooting rate
22 and root development in sugi shoot cuttings. To assess the effectiveness of producing shoot cuttings
23 using the ‘aerial cutting’ method, we compared the rooting rate, root mass and shoot growth of sugi
24 shoot cuttings reared in a closed environment with nearly 100% atmospheric humidity (vapor pressure
25 deficit (VPD) = 0; closed aerial cuttings (CL)) with individuals grown in an open environment (VPD
26 > 0; open aerial cuttings (OP) and open aquaculture cuttings (AQ)). The results showed that an
27 atmospheric humidity of 100% had a negative effect on rooting. The CL shoot cuttings tended to have
28 a lower rooting rate and smaller root dry mass compared to cuttings grown under open conditions (OP
29 and AQ), suggesting a possibility that the rooting of sugi cuttings requires moderate water stress so
30 that the water-absorbing organs can develop and compensate for water loss due to transpiration. Shoot
31 elongation was highest in CL shoot cuttings with frequent mist irrigation, and the lowest in AQ shoot
32 cuttings with no foliar water uptake (FWU) above the water level. The findings suggest that FWU
33 promoted stem elongation in CL shoot cuttings without roots.

34

35 **Keyword:** aerial cuttings, atmospheric humidity, foliar water uptake (FWU), rooting rate, water stress

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37

38 **Introduction**

39 ‘Aerial cutting’ is newly developed method that is used to promote root development in shoot cuttings
40 supported in the atmosphere and irrigated using mist irrigation without any soil medium (Kurita et al.
41 2020). It is expected that this method will increase the production efficiency of container-grown
42 cuttings because the development of roots by shoots that are suitable for transplanting into growth
43 containers can be assessed visually, unlike conventional rooting methods in nurseries.

44 Depending on the irrigation regime and/or atmospheric humidity, shoot cuttings exposed to
45 the atmosphere by aerial cutting have a high risk of severe shoot tissue desiccation. In such cases, the
46 water balance of exposed shoot cuttings is basically determined by the relationship between water
47 uptake via living tissue, such as by foliage through foliar water uptake (FWU) (Burgess and Dawson
48 2004; Ishii et al. 2014; Barry et al. 2019; Schreel and Steppe 2020; Kagawa 2022), water storage, and
49 water loss through transpiration. In the conventional methods used to rear shoots in soil medium,
50 maintaining the water balance of shoot cuttings is relatively straightforward because the belowground
51 parts (e.g., foliage buried in the soil medium) can take up water from the soil stably without losing
52 water by transpiration. In aerial cuttings, however, all of the foliage is exposed to the atmosphere, and
53 the loss of water by transpiration is high when the vapor pressure deficit (VPD) of atmosphere is high,
54 i.e., when the air is dry. Therefore, in order to minimize water loss and maintain the water balance of
55 the whole shoot, it may be more important to ensure FWU for the aerial cuttings and keep VPD low.

56 As an irrigation regime for aerial cuttings, Kurita et al. (2020) suggested that frequent
57 irrigation is extremely important for the successful rooting of sugi (*Cryptomeria japonica*) aerial
58 cuttings. Although they suggested that maintaining a high atmospheric humidity could improve the
59 rooting rate of aerial cuttings, an appropriate atmospheric humidity for this new cutting method has
60 not yet been fully investigated. Regarding the effect of atmospheric humidity on the rooting and
61 growth of shoot cuttings, the following two contrasting hypotheses can be proposed: (1) An
62 atmospheric humidity of 100% (i.e., VPD = 0) can theoretically be used to avoid water stress in the

63 shoot cuttings because the absence of water loss due to transpiration can encourage or compensate
64 vigorous root development without water stress. On the other hand; (2) the absence of transpiration in
65 a 100% atmospheric humidity environment with sufficient FWU can maintain the water balance of
66 whole shoot cuttings, and could promote shoot growth without the need for rooting, i.e., such
67 conditions can result in unsuccessful rooting. The previous study on loblolly pine stem cuttings has
68 suggested that moderate water stress achieve optimum rooting (Lebude et al. 2004). Kagawa (2022)
69 recently reported that a significant part of water uptake in well-watered sugi could be attributed to
70 FWU. In this way, both positive and negative effects of high atmospheric humidity on rooting and root
71 development are expected, but these hypotheses have not yet been fully explored.

72 We therefore tested the above two hypotheses of the effects of atmospheric humidity on
73 rooting rate and root development in sugi shoot cuttings. We experimentally created a closed
74 environment with nearly 100% atmospheric humidity ($VPD = 0$) for the aerial cuttings, and compared
75 the rooting rate, root mass and shoot growth of sugi shoot cuttings with aerial cuttings maintained
76 under open ($VPD > 0$) conditions.

77

78 **Method**

79 *Plant materials*

80 A sugi cultivar “Ken-Kisima-1”, one of the plus trees selected from Saga Prefecture, southwestern
81 Japan, was used as the plant material. Thirty green shoots (35 cm in length) were collected on March
82 29, 2021 from the clonal garden of the Kyushu Regional Office of the Forest Tree Breeding Center in
83 Koshi, Kumamoto Prefecture ($130^{\circ} 44' E, 32^{\circ} 53' N$). After being soaked in a benomyl
84 fungicide (Sumitomo Chemical Co. Ltd., Japan) and rooting promoter containing indole-3-butyric acid
85 (IBA) with acetone and ethylene glycol (OXYBERON SL, Bayer Crop Science Co. Ltd., Japan) for
86 one night, the shoot cuttings were transferred under moist, cool conditions to a laboratory at the
87 University of Miyazaki (ca. 200 km distant from the scion garden) and prepared for the start of the

88 experiment on March 31, 2021.

89

90 *Experimental design*

91 Ten shoot cuttings were separated into three groups and subjected to the following three treatments:

92 (1) Closed aerial cutting (CL): a steel frame (50 cm width × 31 cm depth × 65 cm height) covered with

93 a transparent plastic bag was prepared, and the shoot cuttings were suspended at the center of the frame

94 using a wire mesh (Fig. 1A). A tray filled with water heated by an electric heater was placed at the

95 bottom of the container to keep the air temperature at 26–28°C and to supply water vapor to the air in

96 the plastic bag. Mist irrigation was applied for 5 minutes at 6-hour intervals by using four mist nozzles

97 (G703, Takagi Ltd., Japan) installed at the top frame to ensure all the shoot cuttings irrigated equally

98 and sufficiently. (2) Open aerial cutting (OP): the same apparatus that was used for the CL treatment

99 was prepared, but a window (5 cm × 25 cm) was opened on the upper surface of the plastic enclosure

100 to allow water vapor escape the plastic enclosure (Fig. 1B). (3) Open aquaculture cutting (AQ): a 60

101 L acrylic aquarium tank was prepared and the shoot cuttings were set such that 10 cm of the shoot

102 base was submerged in the water (Fig. 1C). As in the CL and OP containers, the water in the tank was

103 heated to maintain temperature 26–28 °C. All of the treatments were illuminated continuously (24

104 hours per day) by LED light (HPGL1000, Horiuchi Electro-Design Corporation, Japan) at a

105 photosynthetic photon flux density (PPFD) of 400–800 $\mu\text{mol}/\text{m}^2/\text{s}$.

106 Rooting from the shoot cuttings was observed every day from the beginning of the

107 experiment (March 31, **day 0**) until the time of first rooting in all of the treatments (April 19, **day 19**),

108 and then every 2–7 days thereafter until the end of week 12 (June 23, **day 84**).

109 Even if rooting was not observed in CL under this setting, it cannot be denied that the shoot

110 cuttings subjected to CL did not originally have rooting ability for some reason. That is, in order to

111 test properly the hypothesis (2) (inhibition of rooting by 100% humidity), it is necessary to confirm

112 whether the shoot cuttings in CL had rooting ability. This confirmation should be done after a certain

113 period of time, at least when sufficient rooting is observed in OP and AQ. Thus, seven weeks after the
114 beginning of the experiment (May 19, day 49) when all the shoot cuttings in OP and AQ had rooted,
115 a window with the same dimensions as that used in the OP treatment was opened in the CL treatment.
116 From May 15 (day 45) to June 23 (day 84, at the end of the experiment), air temperature (T, °C) and
117 relative humidity (RH, %) were monitored in the CL treatment to calculate VPD by using a thermo-
118 hygrometer (HOBO Pro v2 U23-002A, ONSET, MA, USA). VPD (kPa) was calculated as the
119 following equation:

$$120 \quad \text{VPD} = E_s \times (1 - \text{RH}/100),$$

121 where E_s was vapor pressure approximated by the following equation:

$$122 \quad E_s = 0.61087 \times 10^{(7.5 \times T / (T + 237.3))}.$$

123 The T, RH and VPD in the OP treatment were assumed to be similar to that in the CL treatment after
124 opening window.

125 On June 23 (i.e., after 12 weeks, day 84), shoot elongation over the 12-week period was
126 measured at the three largest shoot apices (the main stem and the two dominant primary branches) of
127 each shoot cutting. Shoot elongation was determined by comparing the distance from the branching
128 position to the apices at the beginning and the end of the experiments. All of the roots were then
129 detached from each shoot cutting, oven-dried for 48 hours at 65°C, and the dry mass of the roots from
130 each shoot cutting was measured. Two of the shoot cuttings from the CL treatment, which had not
131 rooted at the end of week 12, were left under the same experimental conditions until week 15 (day
132 105) for observation of their rooting ability.

133

134 *Data analysis*

135 The rooting rate (number of rooted shoot cuttings/ total shoot cutting) was compared between each
136 treatment for each measurement date using Fisher's exact test. Shoot elongation and root mass at the
137 end of the experiment were compared between treatments by the Steel-Dwass multiple comparison

138 test.

139

140 **Results**

141 *Rooting rate*

142 The first rooting was observed on April 18 (18 days after the beginning of experiment) in two shoot
143 cuttings in the CL treatment, followed by two and three newly rooted shoots in the OP and AQ
144 treatments, respectively, on the next day (April 19, **day 19**) (Fig. 2). On April 28 (**day 28**), four weeks
145 after the beginning of the experiment, the number of rooted shoot cuttings was eight each for the OP
146 and AQ treatments. The number of rooted shoot cuttings in the CL treatment increased to five on April
147 31, but stopped increasing for the two weeks thereafter. On May 10 (**day 40**), six weeks after the
148 beginning of the experiment, all of the shoot cuttings in the OP and AQ treatments were rooted, and
149 the rooting rate of these treatments (100%) was significantly higher than that of the CL treatment
150 (50%) until May 16 ($p < 0.05$). On May 19 (**day 49**), an additional rooted shoot cutting was observed
151 in the CL treatment.

152 On the same day (May 19, **day 49**), seven weeks after the beginning of the experiment, the
153 VPD in the CL treatment increased abruptly from 0 kPa under the closed condition to 0.5–1.0 kPa
154 under the newly opened condition (Fig. 3). The number of rooted shoot cuttings in the CL treatment
155 started to increase again on June 16 (**day 77**), four weeks after opening the window of the CL treatment;
156 all together, eight shoot cuttings had developed roots in the CL treatment by the end of week 12 after
157 the beginning of the experiment (**day 84**). The two unrooted shoot cuttings, which were left under the
158 same experimental conditions in the CL treatment, rooted by the end of week 15 (**day 105**) after the
159 beginning of the experiment (data not shown).

160

161 *Shoot growth and root mass*

162 Mean shoot elongation over 12 weeks was the highest in the CL treatment, followed by the OP and

163 AQ treatments, with a significant difference observed between CL and AQ ($p < 0.05$) (Fig. 4A). The
164 mean root dry mass at the end of week 12 (day 84) was lower in the CL treatment than in the OP and
165 AQ treatments ($p < 0.05$) (Fig. 4B).

166

167 **Discussion**

168 This study examined the influence of atmospheric humidity on rooting and growth of sugi shoot
169 cuttings. The results showed that an atmospheric humidity of 100% had a negative effect on rooting
170 in sugi shoot cuttings. In the CL treatment (i.e., the closed condition) before opening window on May
171 19 (day 49), it was assumed that FWU was sufficient if maintained by frequent mist irrigation (Barry
172 et al. 2019; Schreel and Steppe 2020; Kagawa 2022), and that there was no water loss by transpiration
173 under the completely humid conditions ($VPD=0$) until the window was opened on May 19 (day 49)
174 (Fig. 3). It is considered that these conditions ensured that the shoot cuttings did not experience tissue
175 desiccation. However, under these conditions, there is no requirement for roots as the water-absorbing
176 organ for the shoot cuttings, possibly explaining the low rooting rate (Fig. 2). The small root dry mass
177 (Fig. 4B) observed in the CL treatment could also be explained by closed environment at the first
178 seven weeks (before opening window) though the last five weeks must have had similar condition
179 with the OP treatment. Similar results of low rooting late of sugi shoot cuttings was reported under a
180 well-watered (sprayed) condition (Suzuki 1973).

181 In contrast, moderate water stress is expected to have occurred in the shoot cuttings in the
182 OP treatment; the escape of water vapor through the window of the plastic enclosure in the OP
183 treatment may have increased the water loss by transpiration, and then increased the need for roots to
184 recover the water balance of the whole shoot, which in turn, may have promoted rooting as suggested
185 in stem cuttings of loblolly pine reported by Lebude et al. (2004). Suzuki (1973) also reported
186 relatively high rooting rate of sugi shoot cuttings in less-watered conditions. Thus, the results suggest
187 a possibility that the rooting of sugi cuttings requires moderate water stress to stimulate the need for a

188 water absorbing organ to compensate for the loss of water through transpiration. This finding was
189 corroborated by the vigorous rooting observed in the AQ treatment (open aquaculture with $VPD > 0$)
190 (Fig. 2, Fig. 4B), and by the increase in rooted shoot cuttings in the CL treatment after the window
191 was opened (Fig. 2). The increased shoots rooted in the CL treatment under the increased VPD
192 indicated that all the shoot cuttings in CL treatment had rooting ability, and that the rooting might have
193 inhibited under the completely humid conditions ($VPD=0$) before opening window.

194 Further, shoot elongation was largest in the CL treatment, likely because the shoots
195 maintained FWU (at least during the first seven weeks before opening window), and smallest in the
196 AQ treatment, as there was no foliar water uptake above the water level (Fig. 4A). The large shoot
197 elongation with less or no roots in the shoots of the CL treatment (Fig. 4B) suggests that FWU during
198 the first seven weeks directly supported shoot elongation (Schreel and Steppe 2020; Kagawa 2022).

199 The rooting of the two shoots in the CL treatment, which were unrooted at the end of week
200 12, demonstrated that all of the shoot cuttings in the experiment possessed rooting ability.
201 Consequently, the delayed rooting in the CL treatment can be assumed to have occurred due to rooting
202 being inhibited by the lack of water stress in these cuttings.

203 Water stress in shoot cuttings could stimulate rooting in the following two ways: (1)
204 continuous moderate tissue desiccation is needed to stimulate rooting for a certain period required for
205 differentiation root tissue, or (2) rooting is promoted (even under 100% humidity) if shoot cuttings
206 have once experienced tissue desiccation exceeding a threshold. In the present study, early rooting was
207 observed in weeks 3–5 in the CL treatment. This could be attributed to the accidental desiccation of
208 parts of the shoot cuttings during transport from the clonal garden to the laboratory including the
209 processes of collecting shoots and soaking the shoot cuttings in rooting promoter. This observation
210 may suggest the possibility of the latter (desiccation exceeding threshold can stimulate rooting).
211 However, the detailed mechanism should be examined in the further study.

212

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219

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246 Captions

247

248 Fig. 1. Illustration of the experimental settings of (A) closed aerial cutting (CL); (B) open aerial cutting
249 (OP); and (C) open aquaculture cutting (AQ). See the text for details of the experimental settings.

250

251 Fig. 2. Number of rooted shoot cuttings from each of the three treatments over the 12 weeks of the
252 study. CL: closed aerial cutting, OP: open aerial cutting, and AQ: open aquaculture cutting. Asterisks
253 indicate significantly higher rooting rate in OP and AQ than in CL (Fisher's exact test, $p < 0.05$). The
254 arrow in the graph indicates the date when the window was opened in the CL treatment (May 19, day
255 49).

256

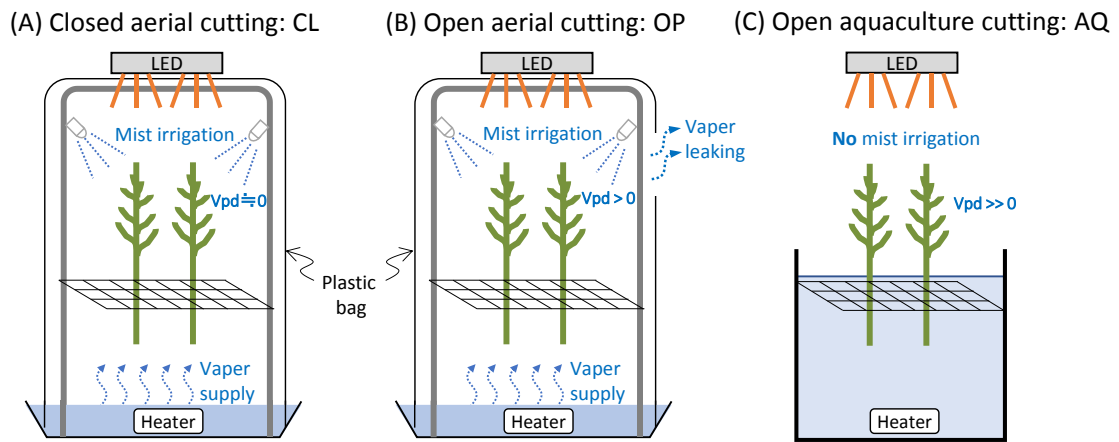
257 Fig. 3. Change in the vapor pressure deficit (VPD) before and after opening the window in the CL
258 treatment. The arrow in the graph indicates the date when the window was opened (May 19, day 49).
259 The VPD was zero for the duration of the closed condition, then increased with marked fluctuations
260 in response to weather conditions after opening window.

261

262 Fig. 4. Comparisons of shoot elongation over 12 weeks (A) and root dry mass at the end of the week
263 12 (B). CL: closed aerial cutting, OP: open aerial cutting, and AQ: open aquaculture cutting. Different
264 letters indicate significant differences between the treatments (Steel-Dwass test, $p < 0.05$).

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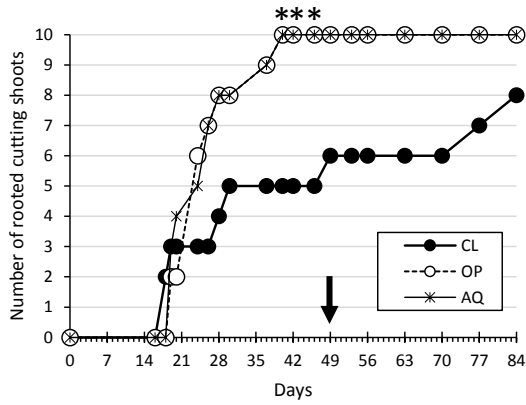
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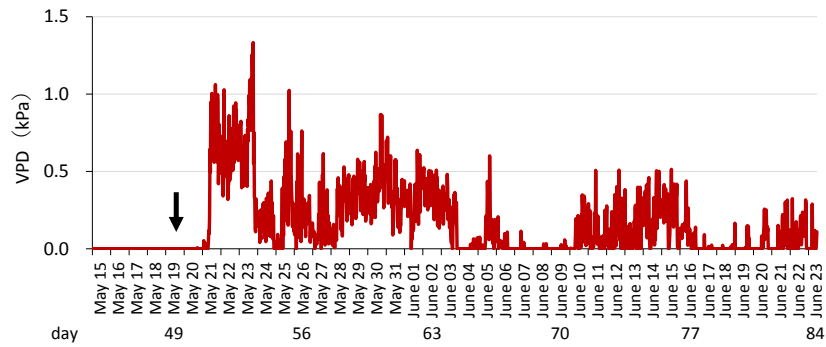


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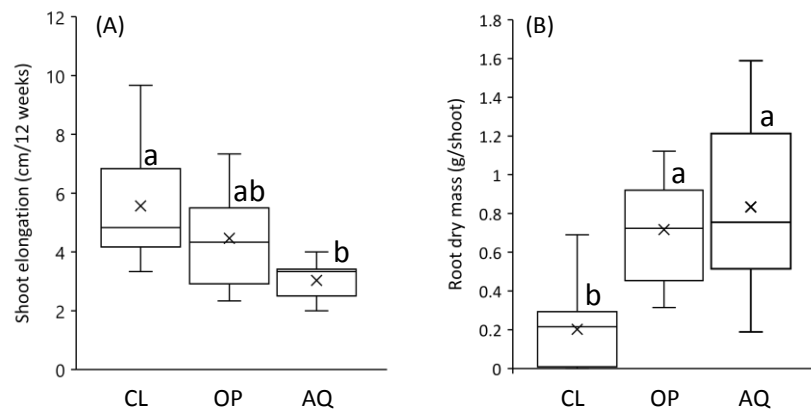
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